

Simple Data Driven Feedback Decision Rules:

From \$1million to \$5000 Harvest Strategies

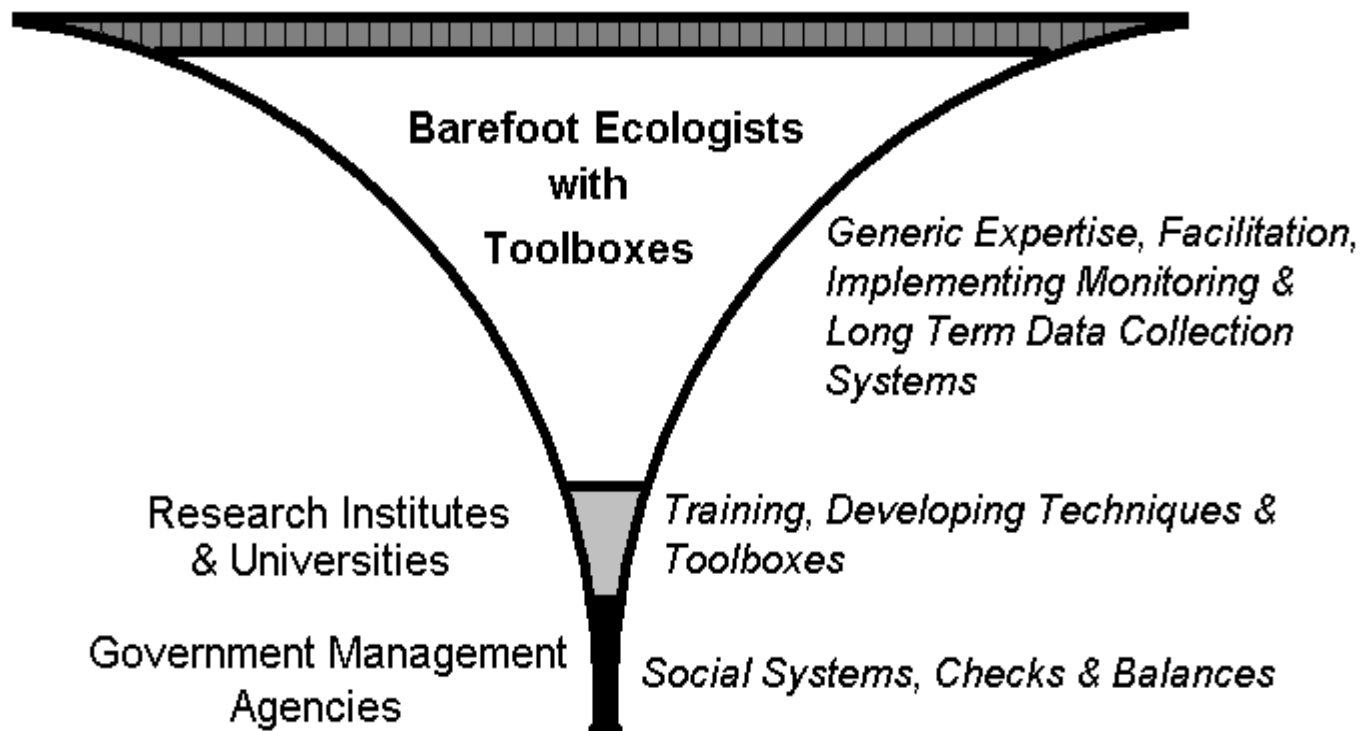
Jeremy Prince

**Exploring Tools for Improving Management
of Data Poor Stocks Workshop**

23-24 February 2011

The Solution ?

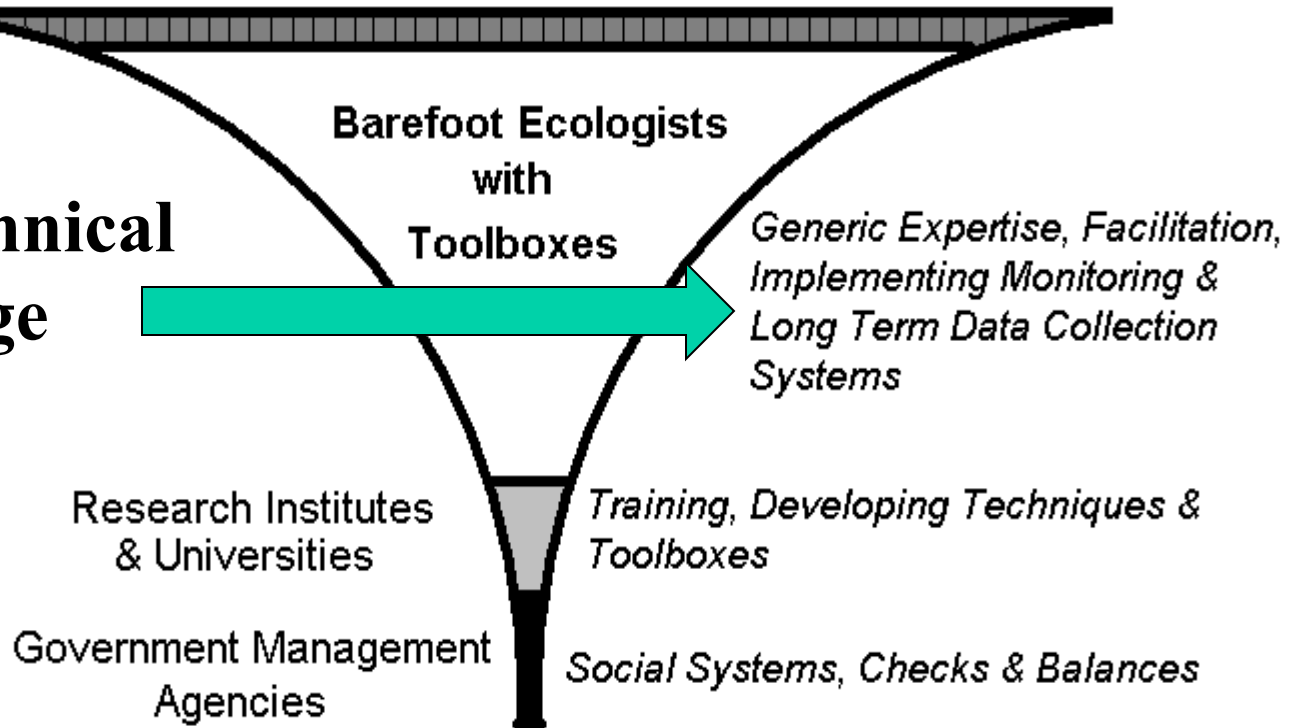
Many Micro-stocks & Fishers - *Local Experts & Scientific Fishing*



The Solution ?

Many Micro-stocks & Fishers - *Local Experts & Scientific Fishing*

**This Talk:
The Technical
Challenge**



Outline

Retooling the Mindset

- **Fisheries as Carpets**

From \$1 million to \$100,000 Harvest Strategies

- **Spawning Potential Ratio (SPR)**
- **Abundance weighted SPR**
- **Empirical SPR Decision Tree**

From \$100,000 to \$5,000 Harvest Strategies

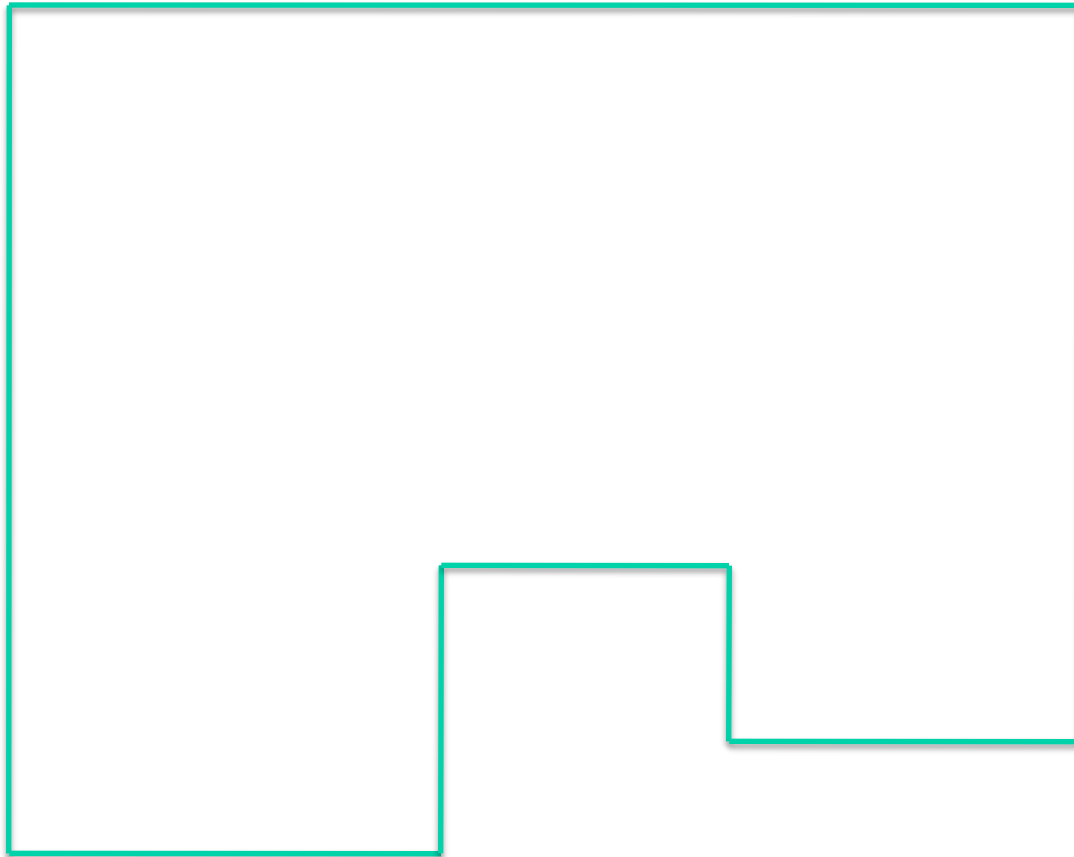
- **Empirically estimating size based SPR reference points**

The background of the slide is a high-resolution, blue-toned aerial photograph. It depicts a complex, organic pattern of light and dark blue areas, which could represent a satellite view of a coastal region, a map of a river network, or a close-up of a textured surface like a carpet or ice. The overall effect is a sense of depth and intricate detail.

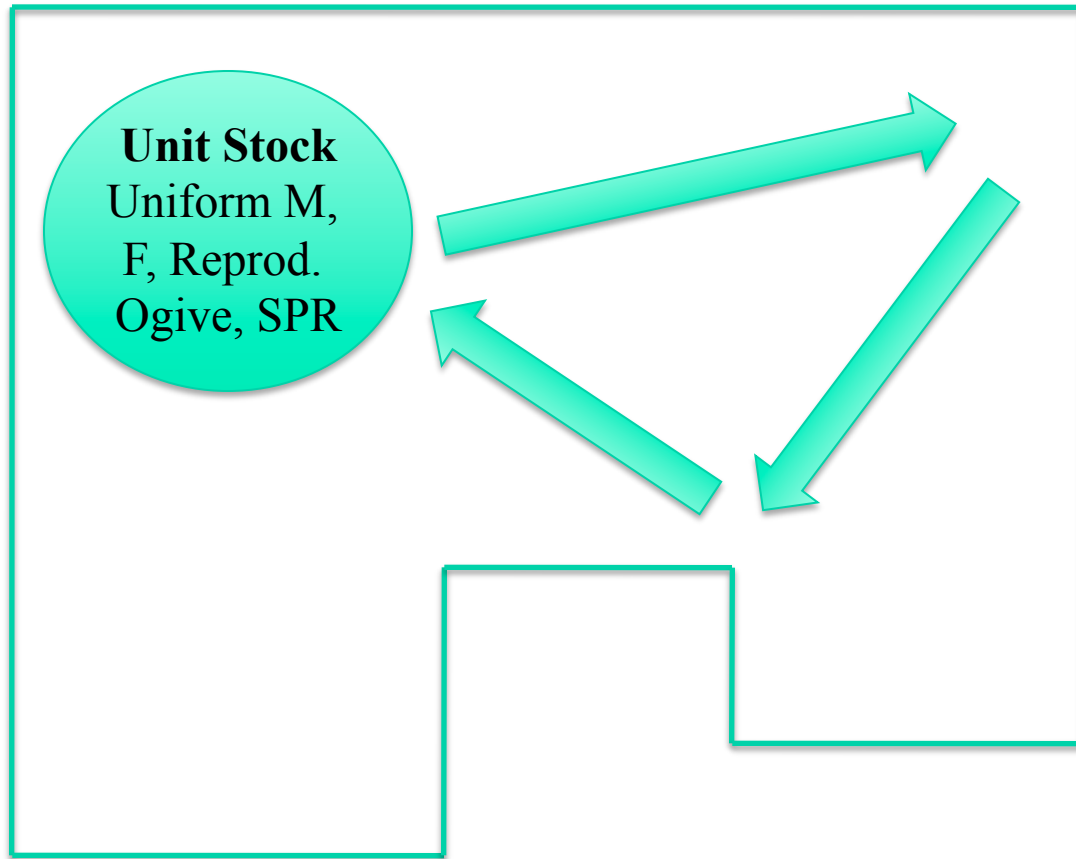
Retooling the Mindset:

Fisheries as Carpets

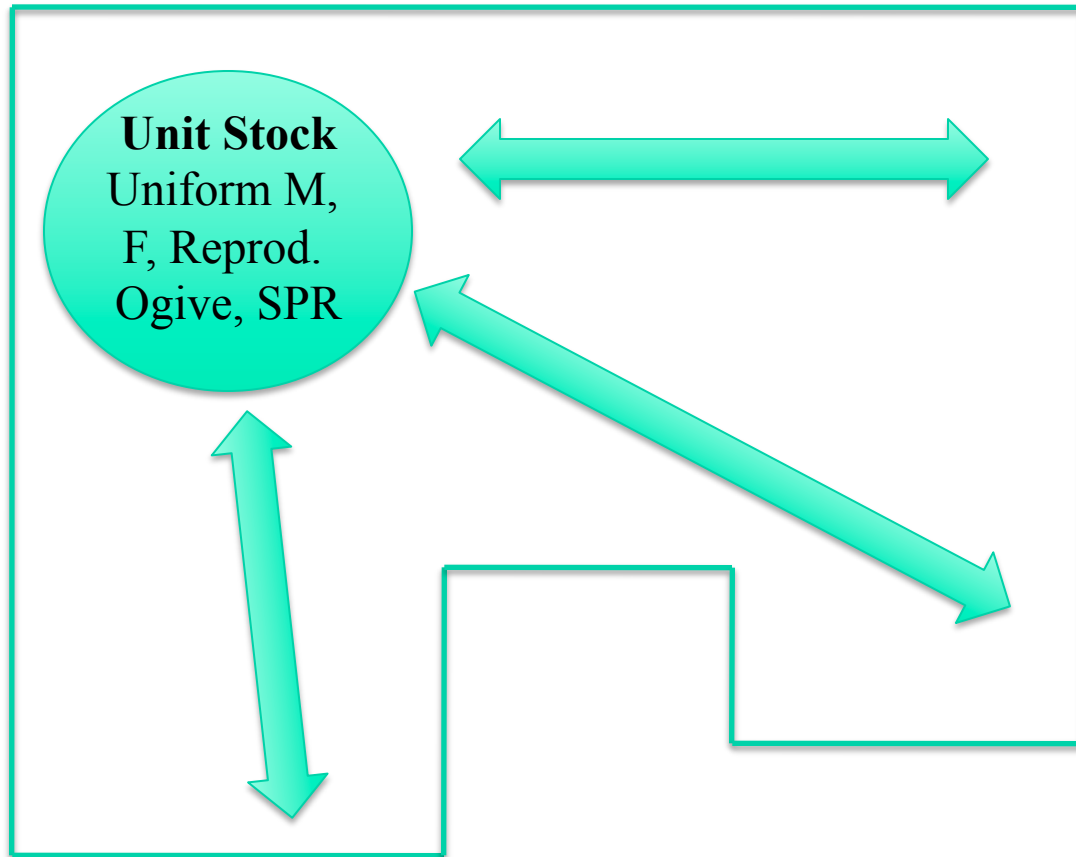
Area of the Fishery



Unit Stock Model

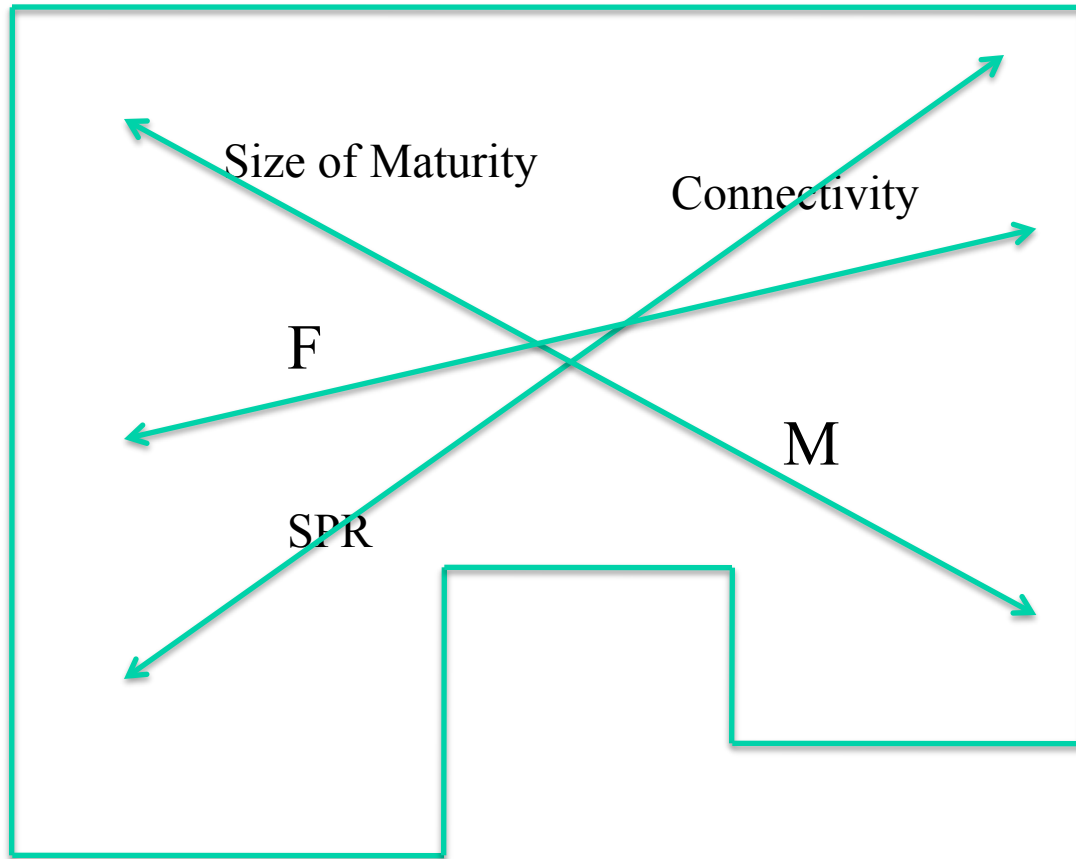


Unit Stock Model

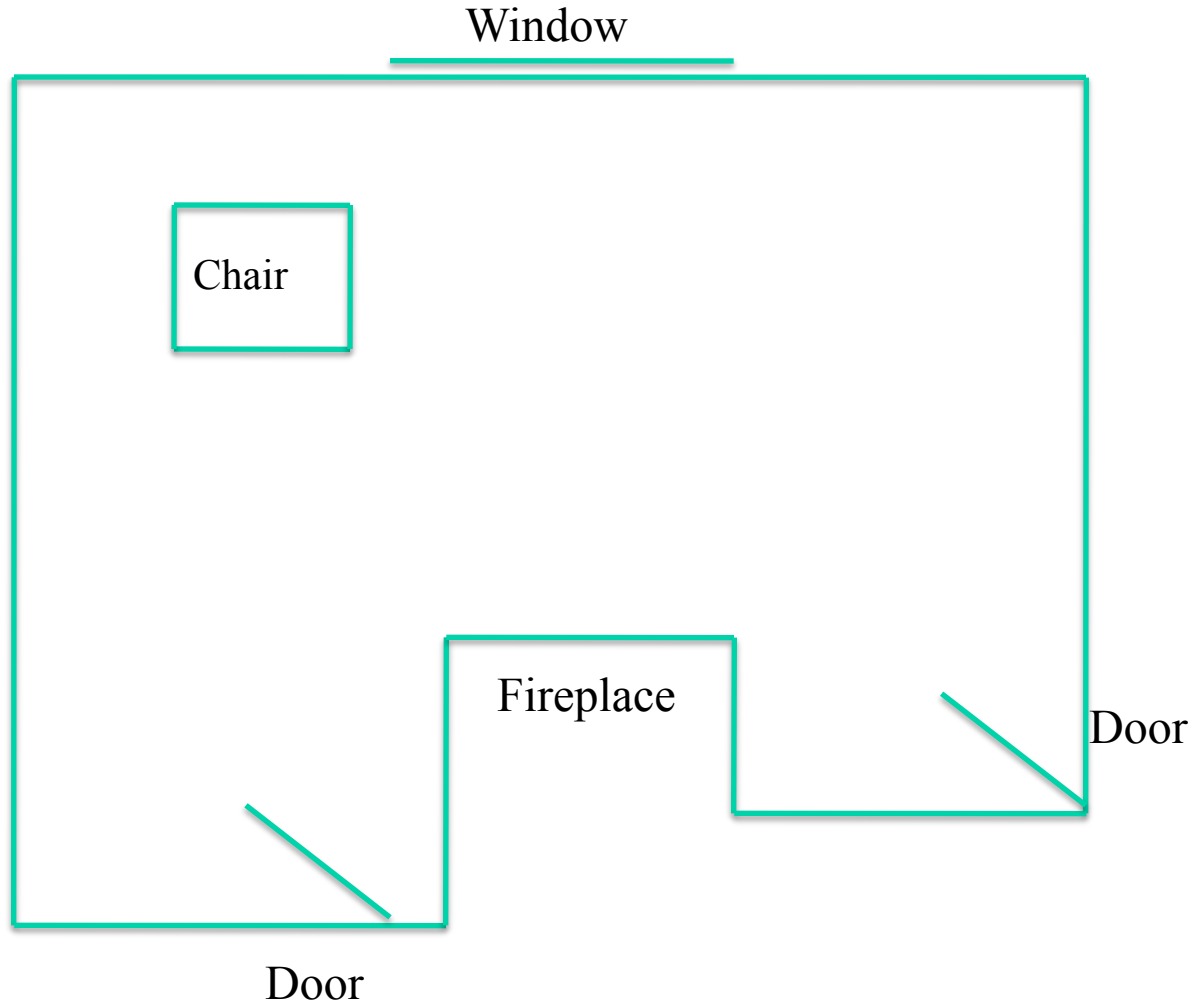


The Resource as a Carpet

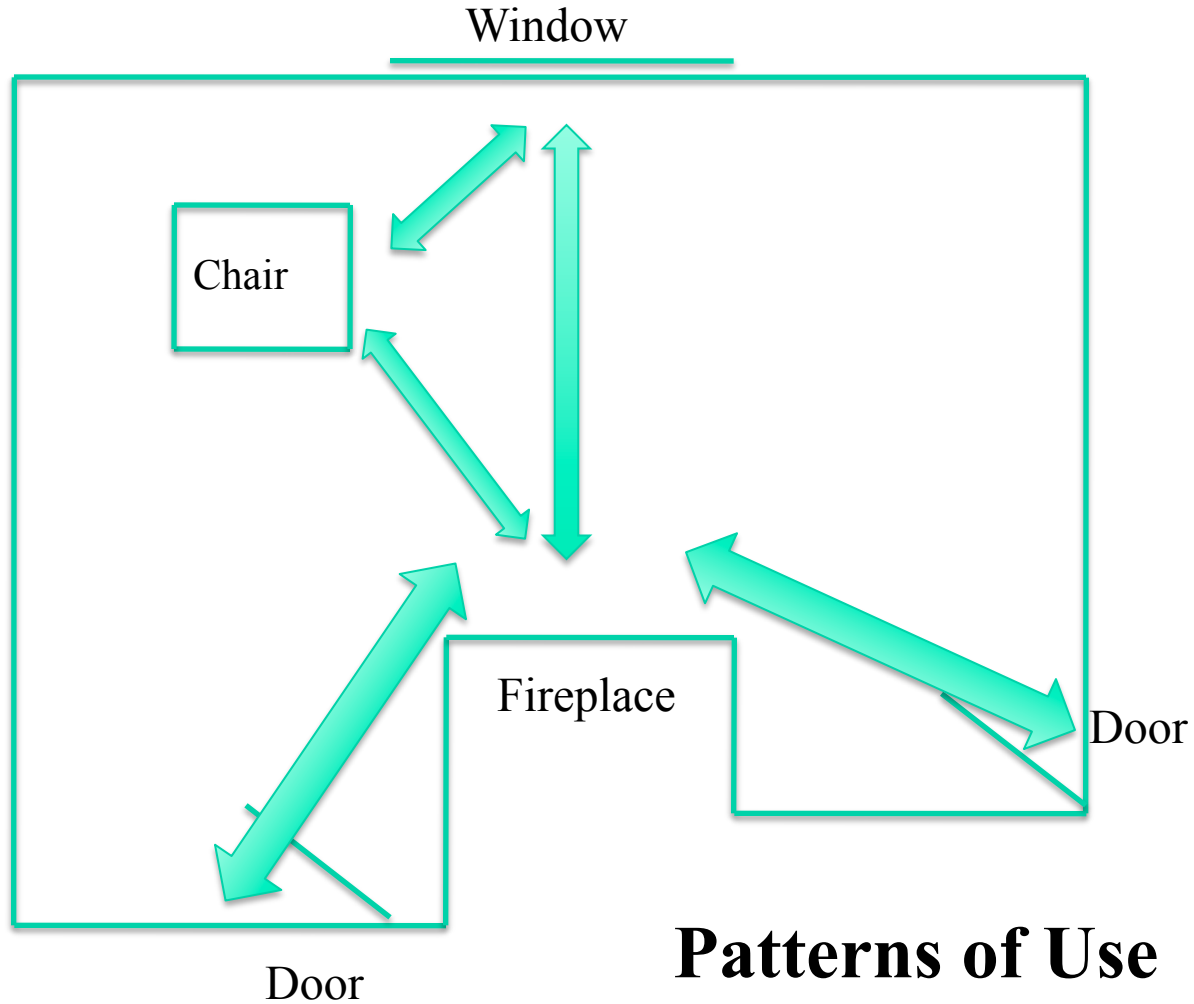
Locally Recruiting variable populations within meta-populations



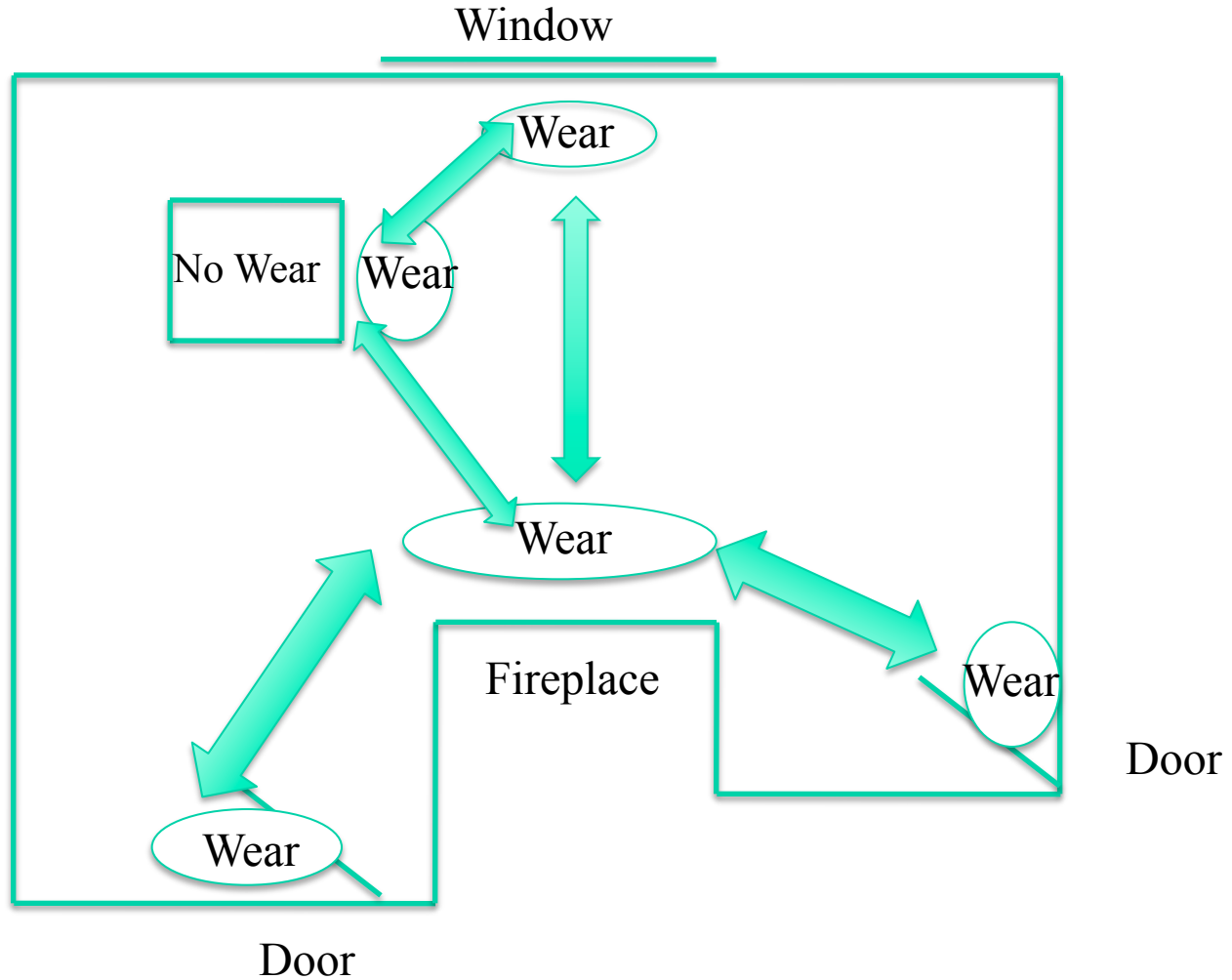
The Resource as a Carpet



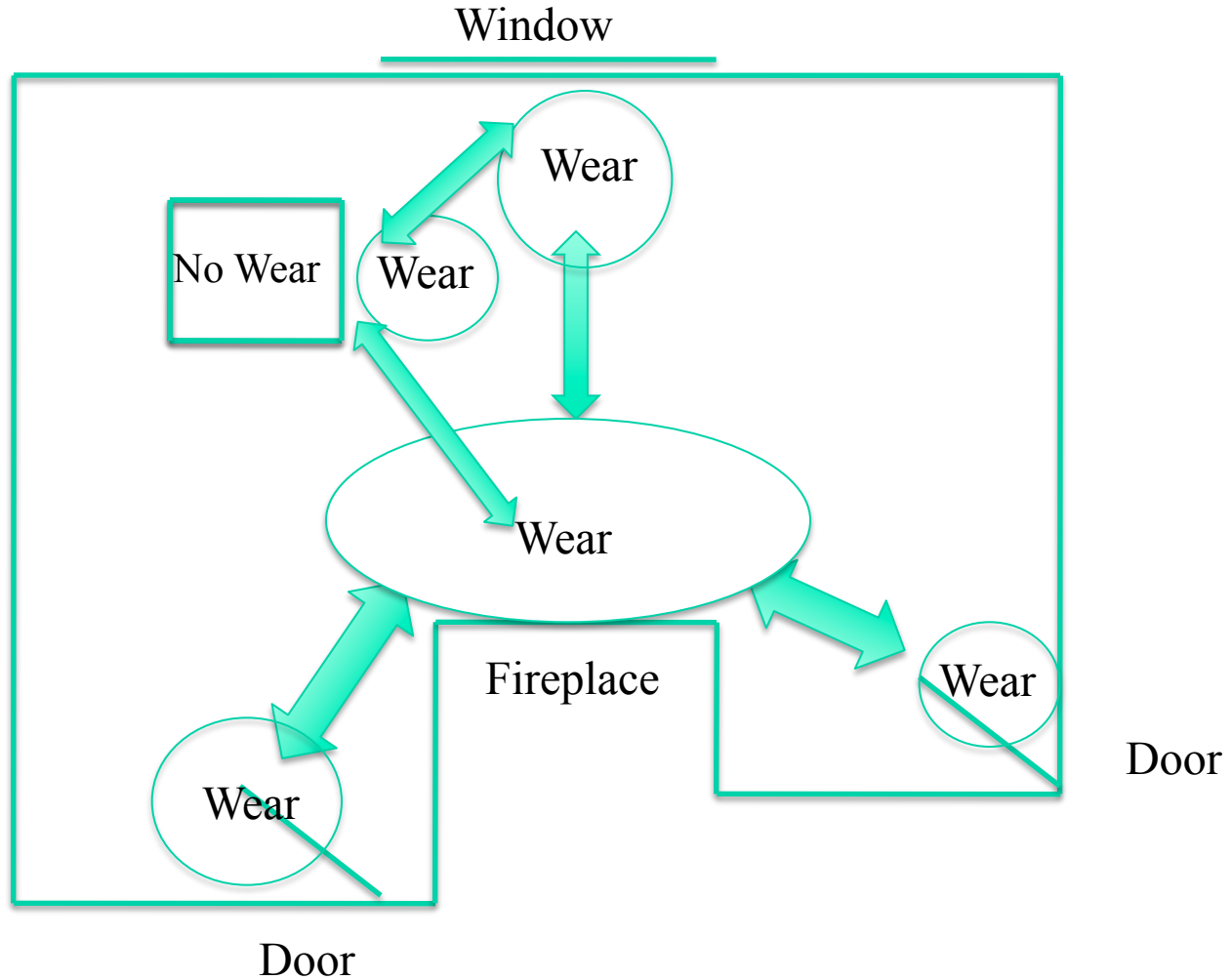
The Resource as a Carpet



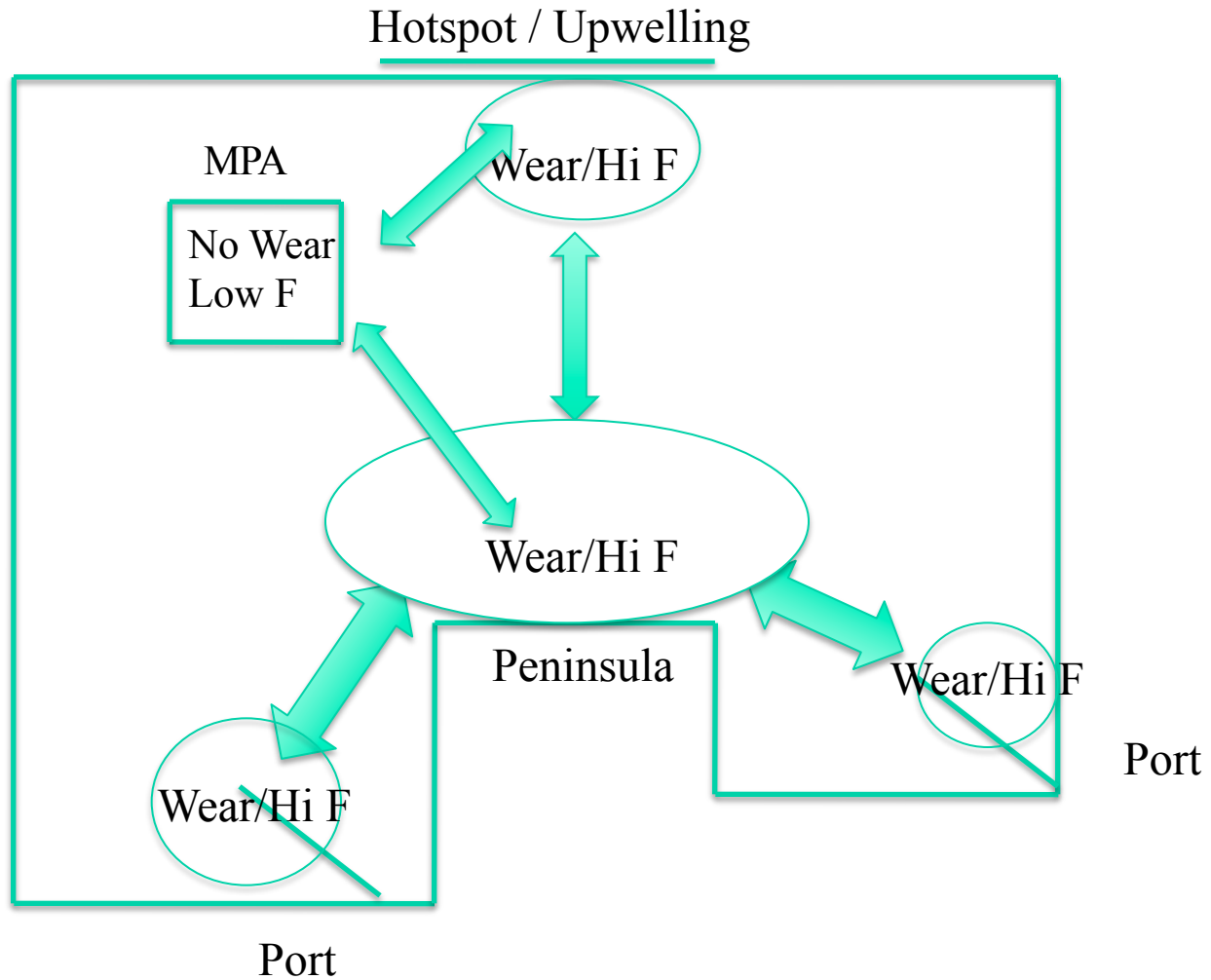
The Resource as a Carpet



The Resource as a Carpet

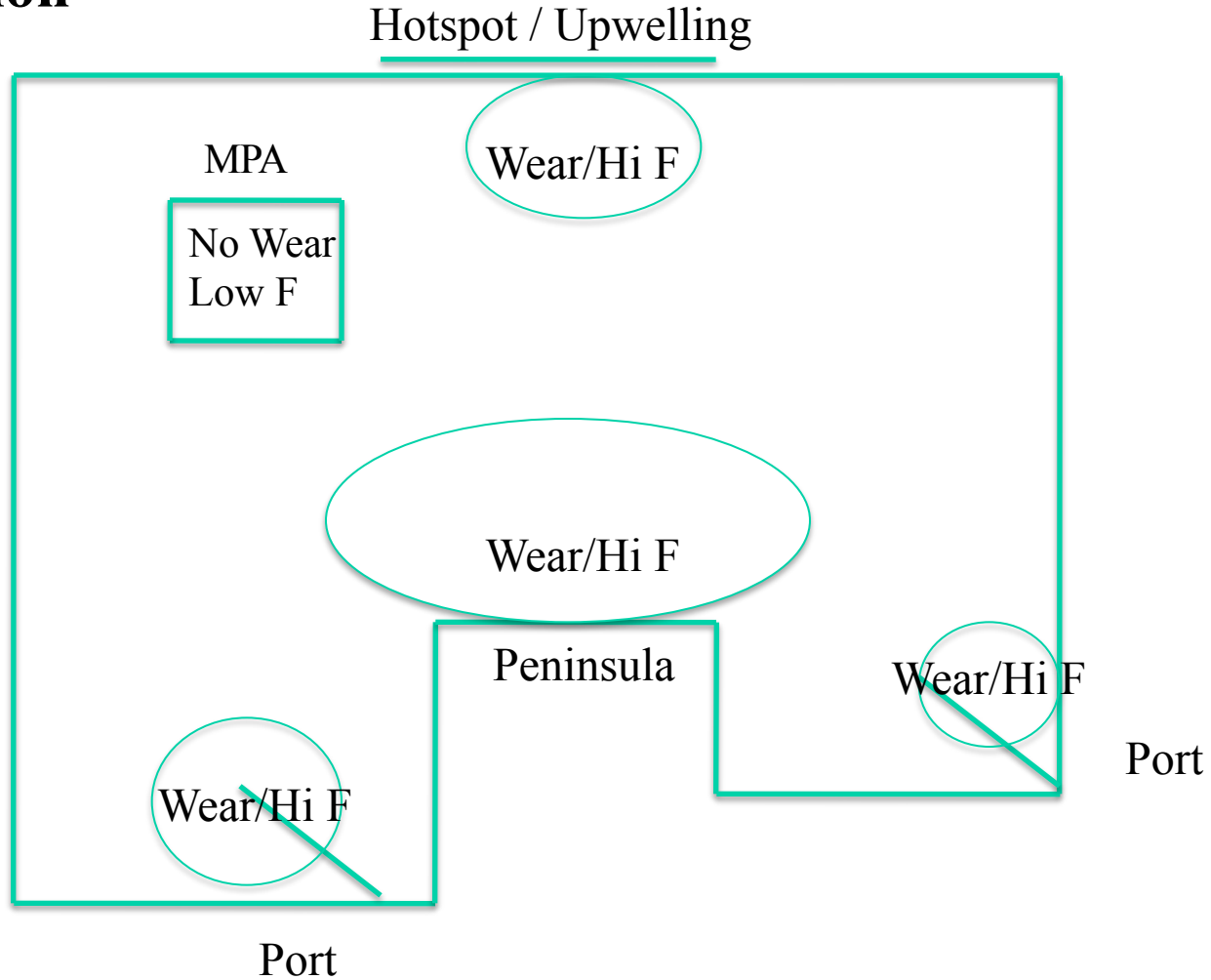


The Resource as a Carpet



The Resource as a Carpet

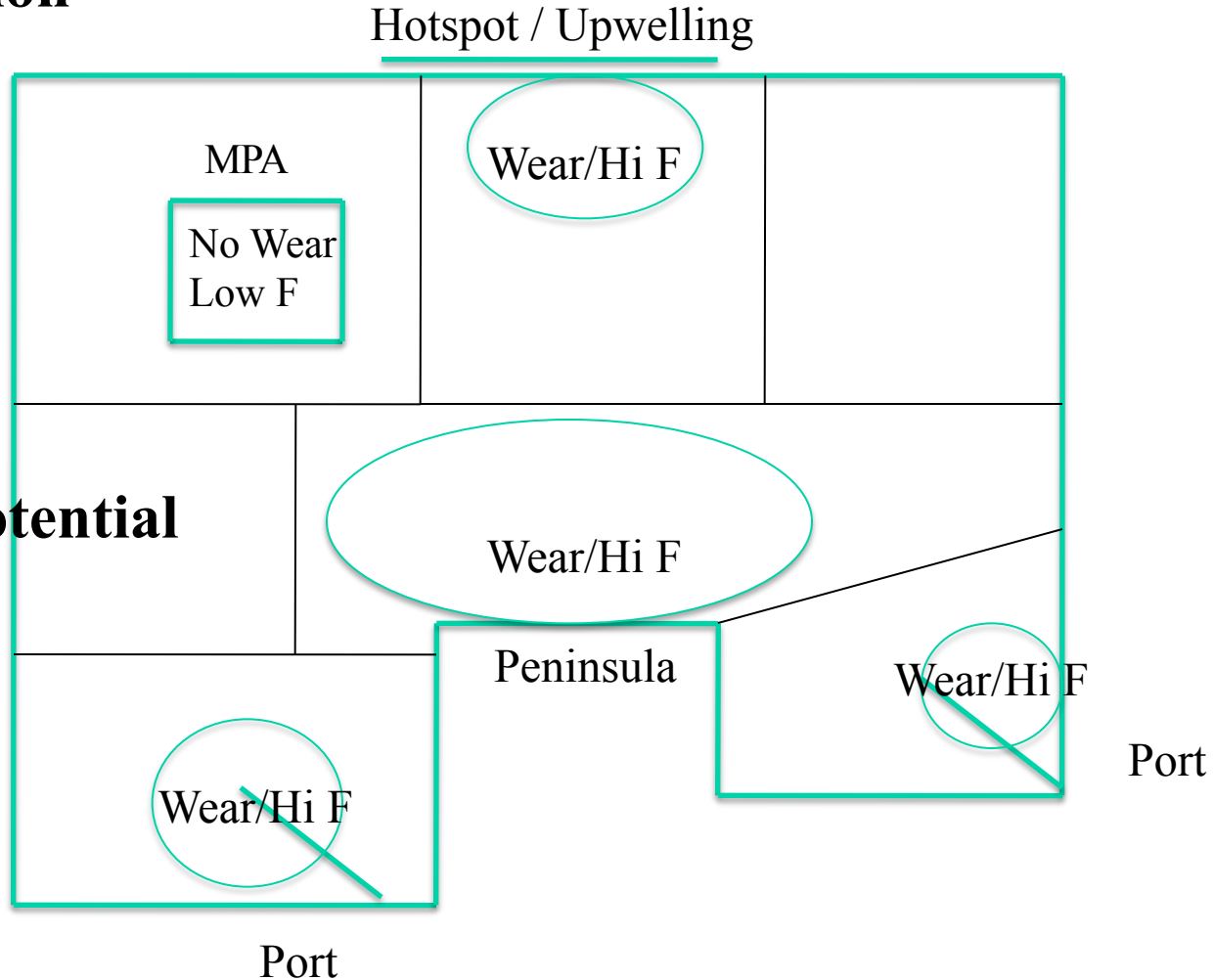
Serial Depletion



The Resource as a Carpet

Serial Depletion

Local F
Local Sp. Potential



Basic Premises:

- **Lack of sophisticated analysis is not the problem, rather it is the generally the lack of meaningful data with sufficient spatial resolution.**
- **Much of our failure in management and assessment is due to our need and inability to account for complex stock structure (i.e. the ‘unit stock’ assumption)**
- **Stock structure is too complex & expensive to study universally across all marine resources and so (outside our need for pure research on the topic) not a cost-effective approach to improving assessment and management.**

Technical Solution:

- 1. Manage all component parts of populations to preserve 'conservative' levels of spawning (SPR) to negate sink / source issues.**
- 2. As a default treat all meta-populations as potential sources.**
- 3. Use simple local harvest strategy to match size and cpue with SPR targets using SPR decision tree to involve local fishing communities in incremental change.**
- 4. Set up local fishing communities to collect spatially explicit size and catch rate data.**

Fisheries as Carpets

- **Balancing Local Fishing Pressure**

To maintain:

- **Local Spawning Biomass (SPR) targets**

Spawning Potential Ratio (SPR)

Also known as: Eggs per Recruit, Spawning per Recruit, Proportion Lifetime Egg Production

Definition: Proportion of unfished spawning allowed by harvest policy (Walters & Martell 2004)

Fishing Intensity (F) based harvest strategy rather than Biomass (B) based harvest strategy.

Mace and Sissenwine (1993) – Review and meta-analysis of generic SPR reference points for teleosts.

Recognized in International & US Fisheries Law (Restrepo 1998)

Abundance weighted SPR (Mace et. al. 1996)

From \$1 million

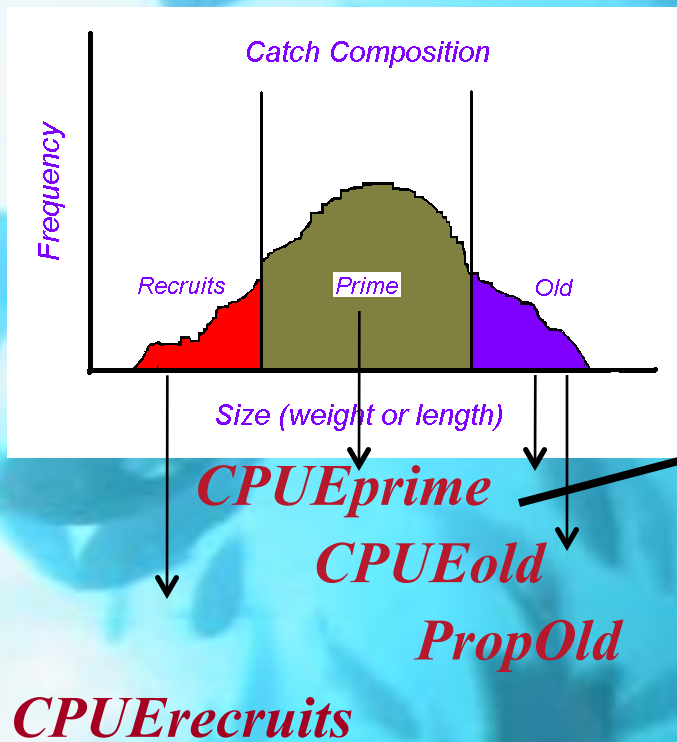


.... to \$100,000 Harvest Strategies

Scale-less Assessment

Conditioned to SPR targets

Applies Cohort Analysis Logic

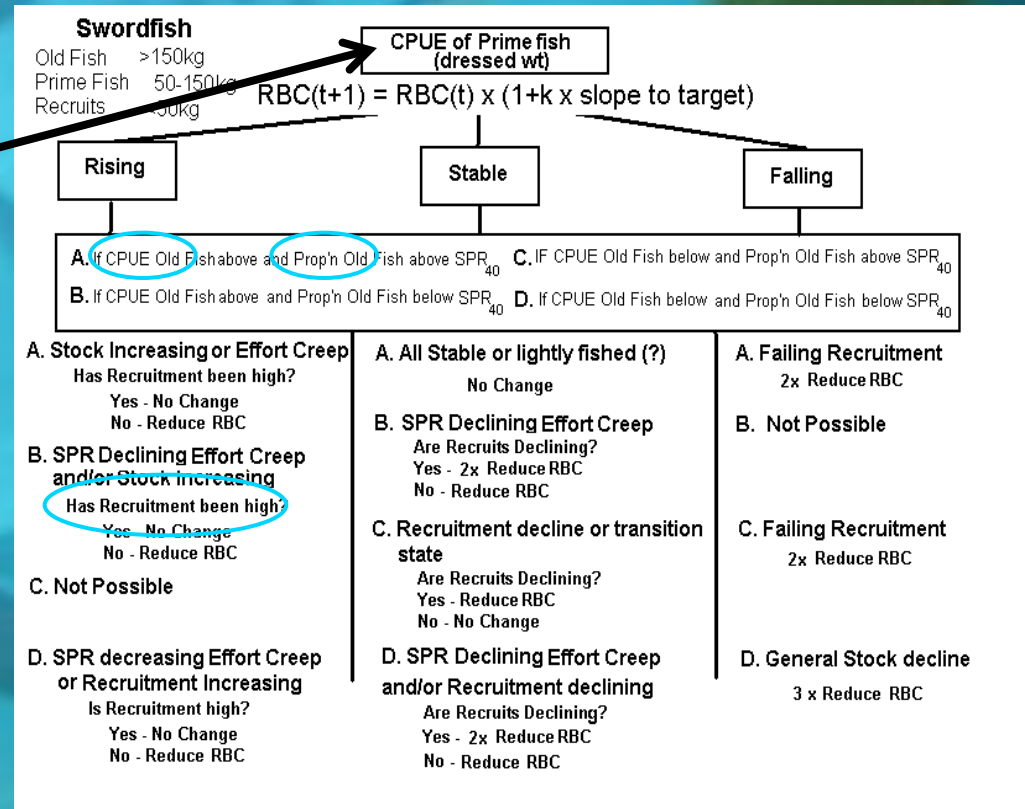


Step 1 – Level 1

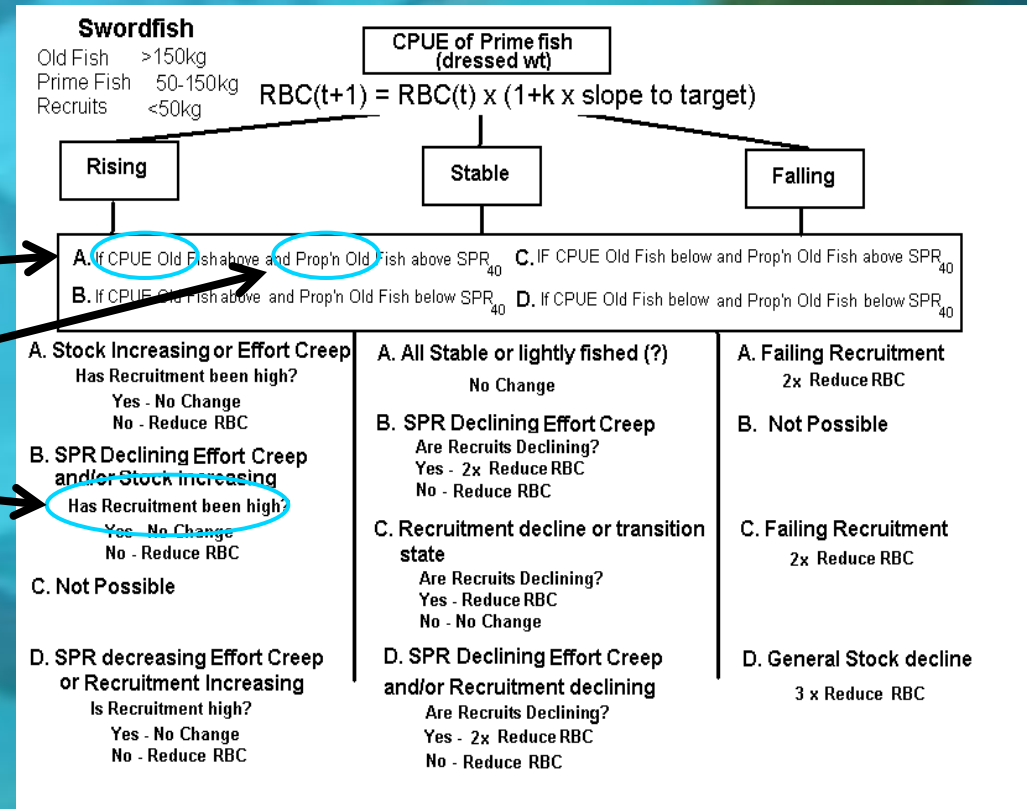
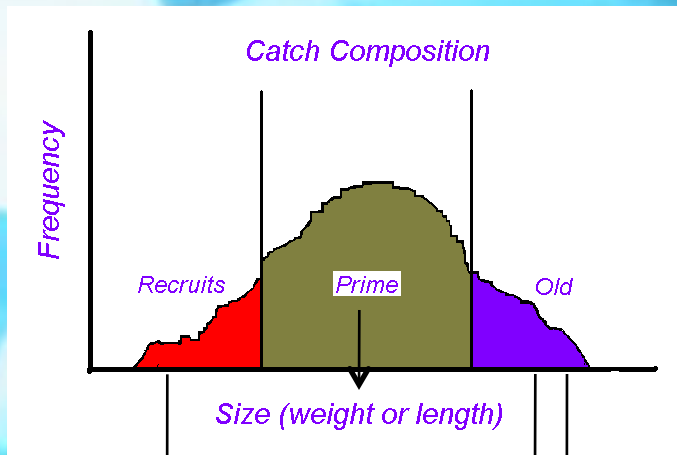
Evaluates *CPUE_{prime}*
Relative to SPR *CPUE* target
And Slope to *CPUE* Target

Provides Initial estimate of Incremental Change

Prince et al. 2011. A simple cost-effective and scale-less empirical approach to harvest Strategies. ICES Journal of Marine Science In Press. May 2011.



Iterative Assessment



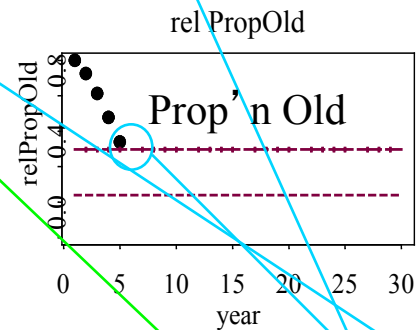
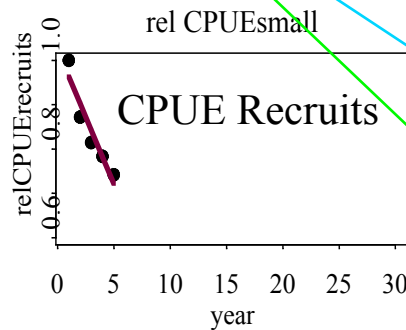
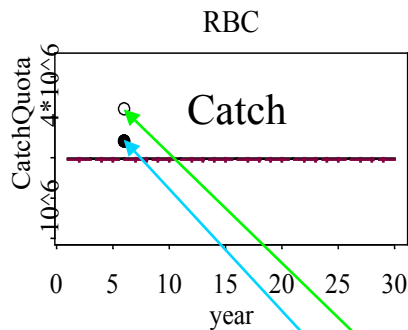
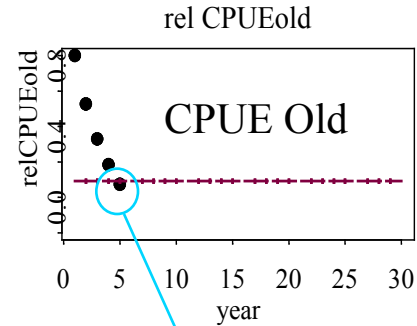
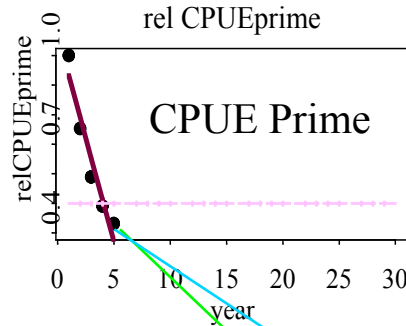
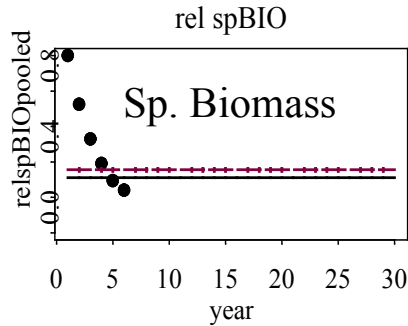
Step 2 – Lower Levels

Evaluate Size Structure

Relative to SPR size target

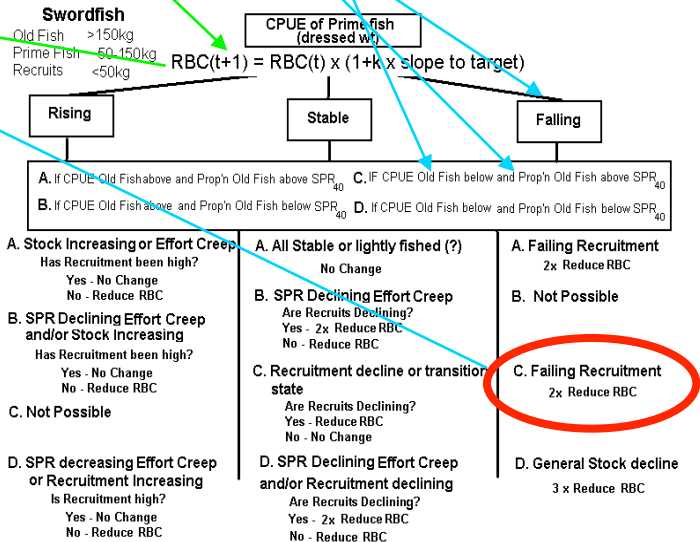
Modify Initial estimate of

Incremental Change – Iterative Process stabilises size & cpue at SPR targets



Initial RBC (simple dec. rule)

Modified RBC (decision tree)



level at MSY

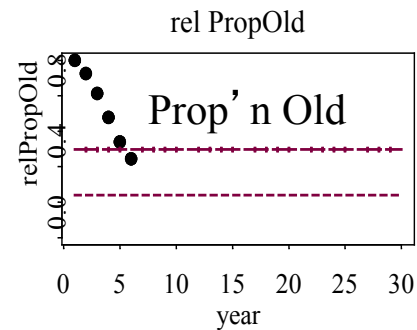
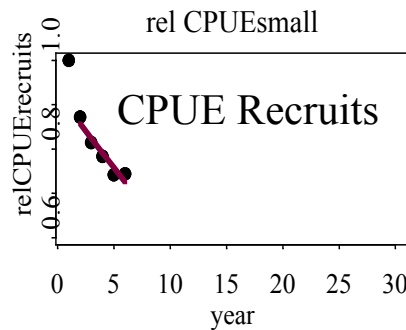
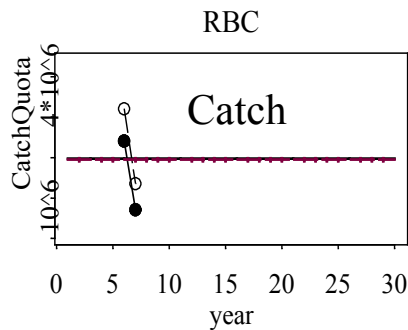
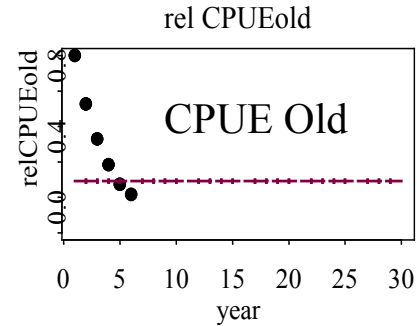
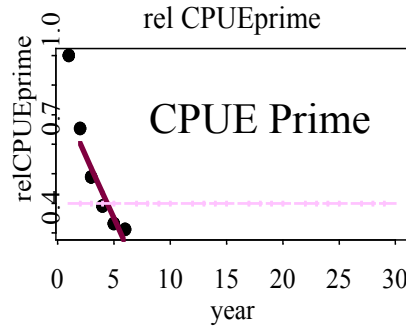
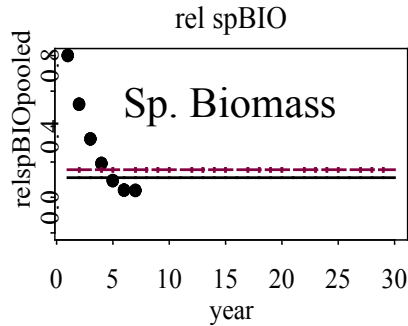
level at SPR_{40} (decision tree target)

level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years

Year = 7



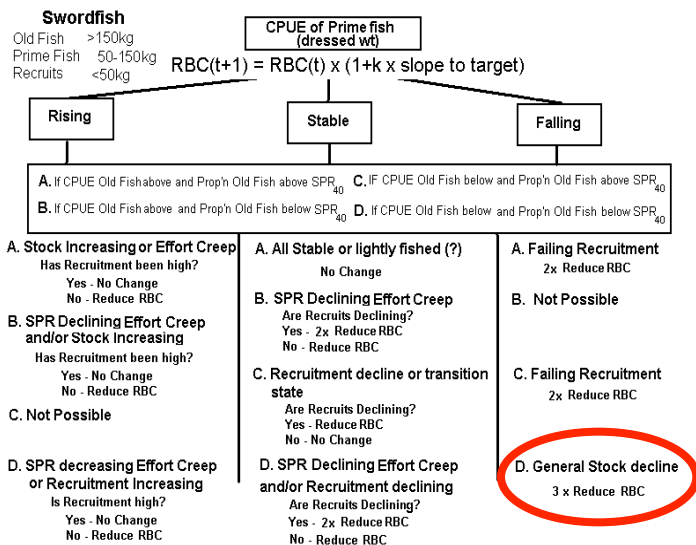
level at MSY

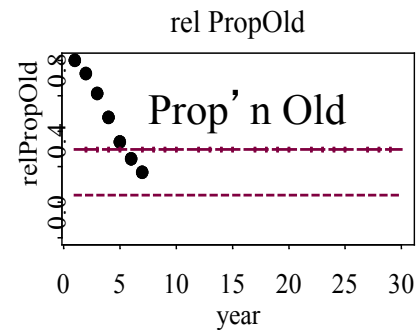
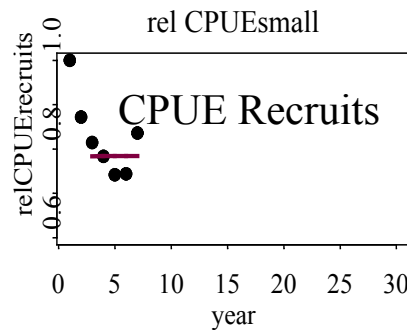
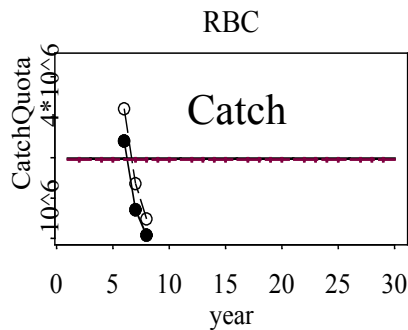
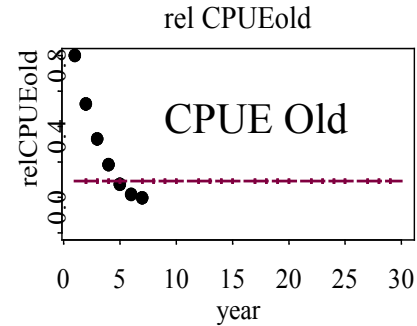
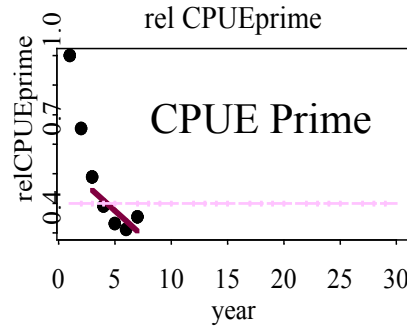
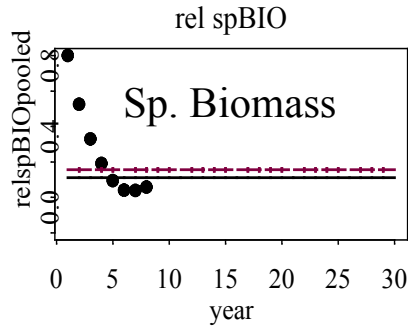
level at SPR_{40} (decision tree target)

level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years





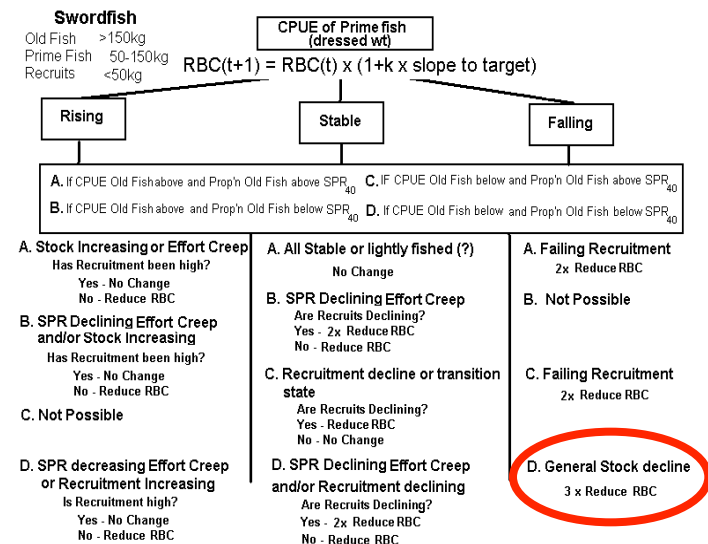
level at MSY

level at SPR_{40} (decision tree target)

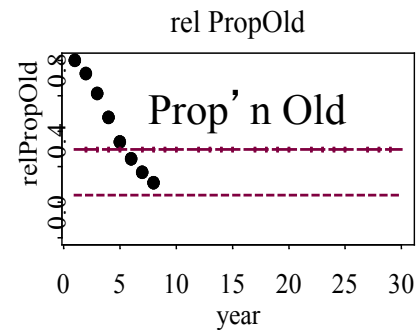
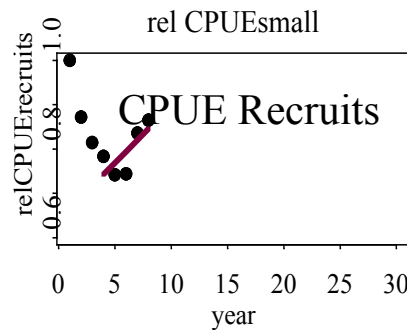
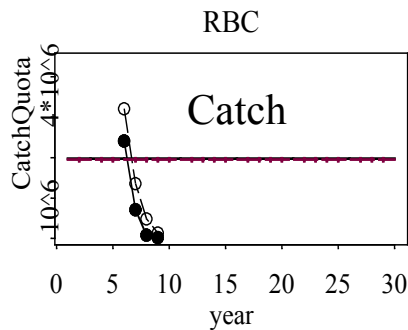
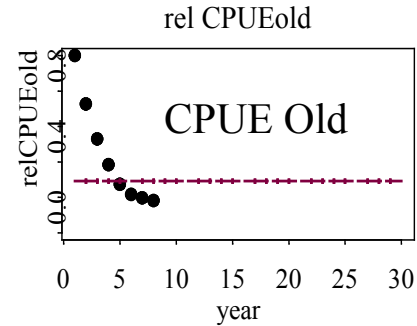
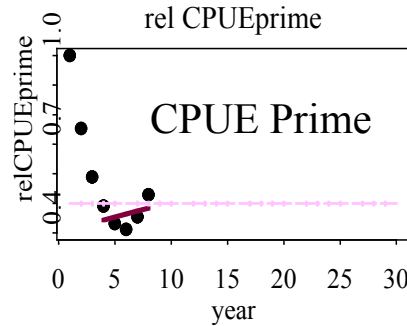
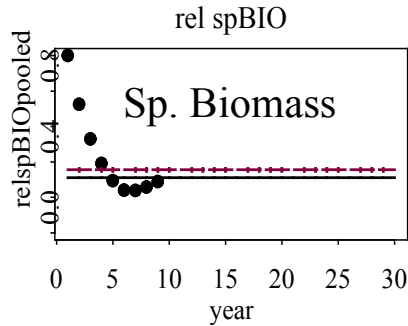
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 9



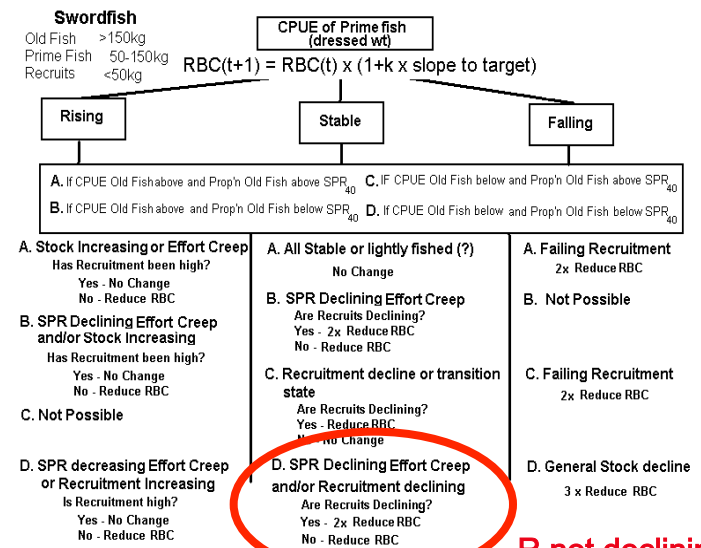
level at MSY

level at SPR_{40} (decision tree target)

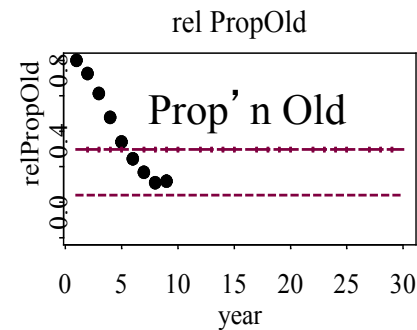
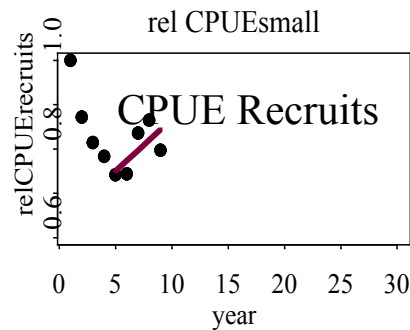
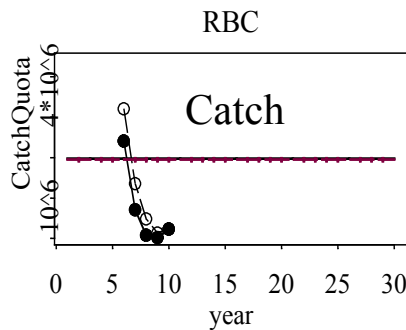
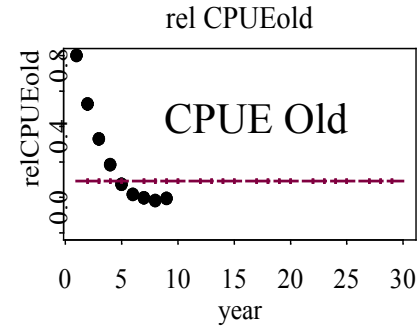
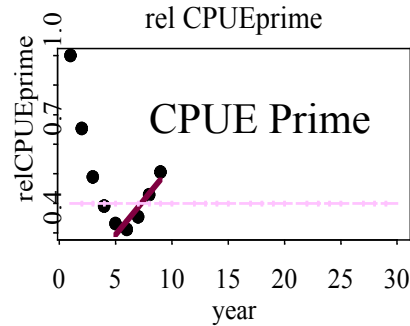
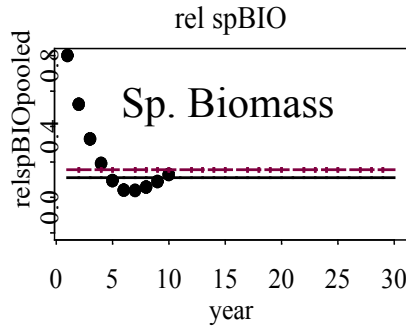
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 10



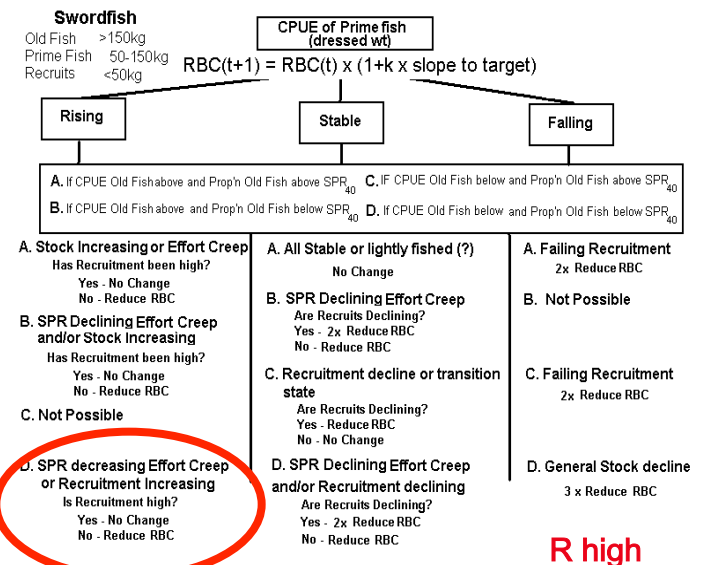
level at MSY

level at SPR_{40} (decision tree target)

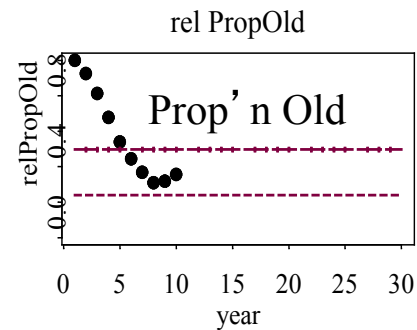
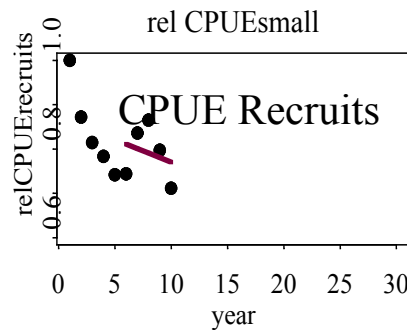
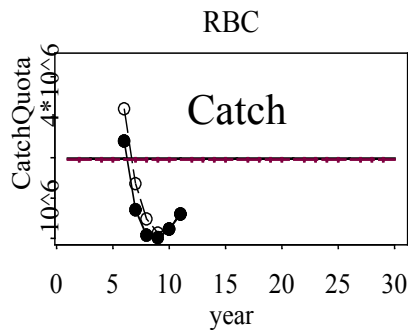
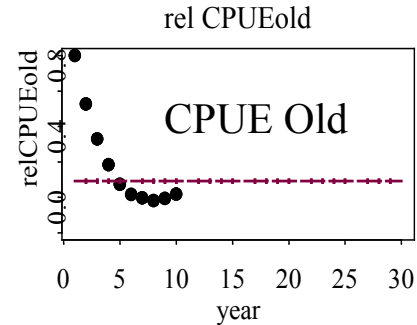
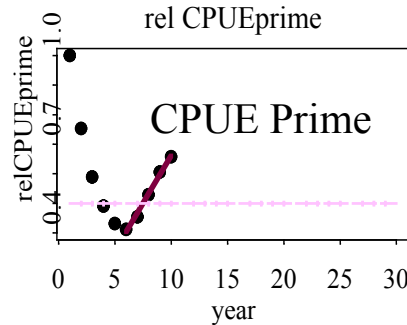
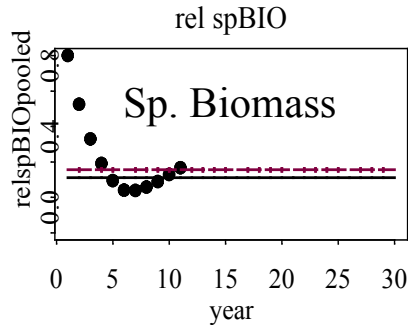
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 11



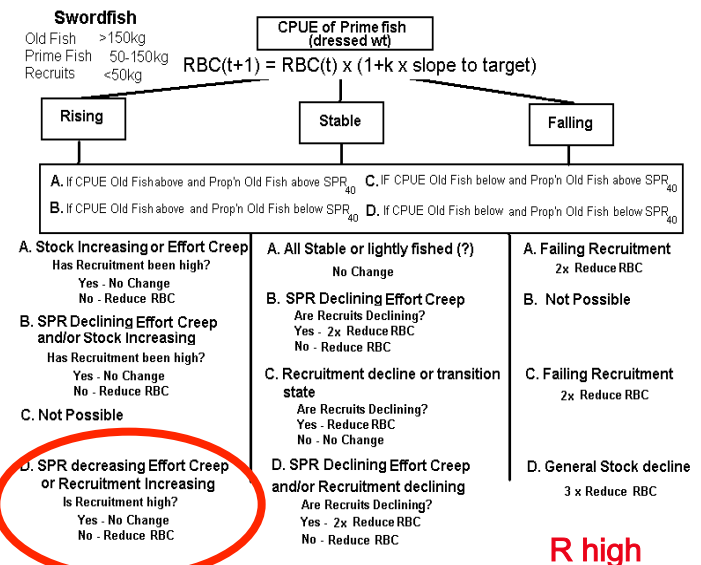
level at MSY

level at SPR_{40} (decision tree target)

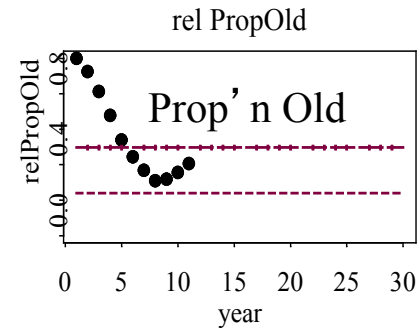
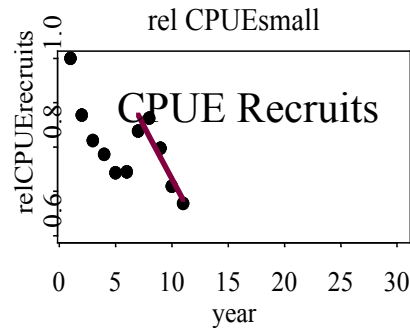
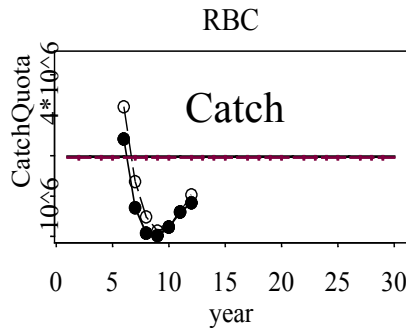
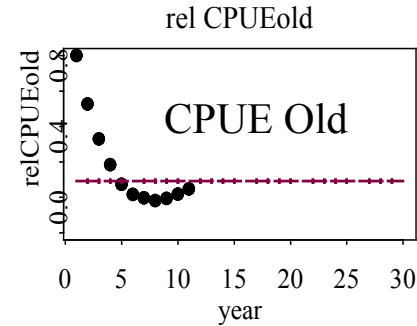
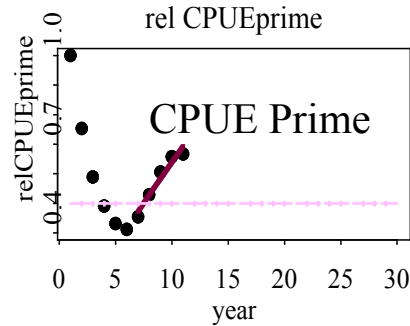
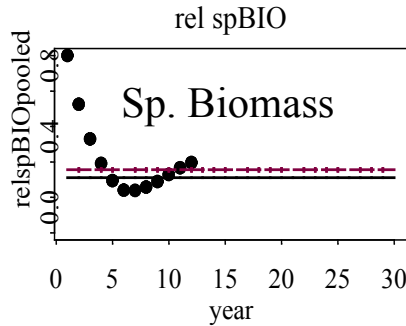
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 12



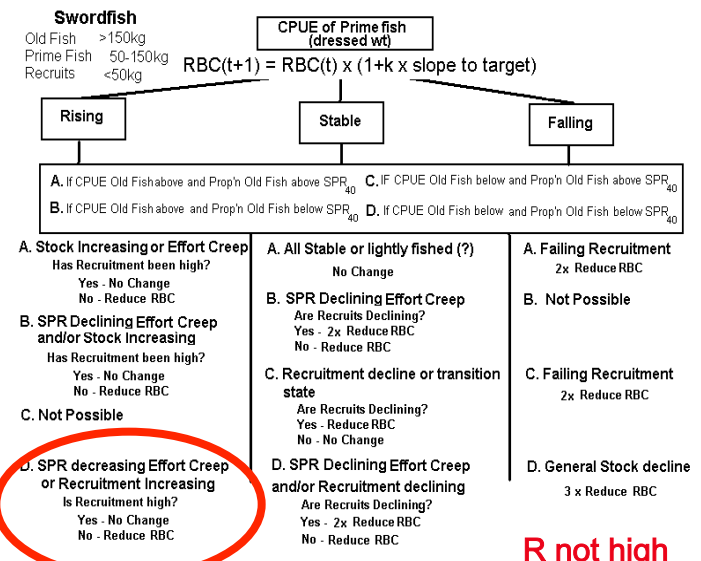
level at MSY

level at SPR_{40} (decision tree target)

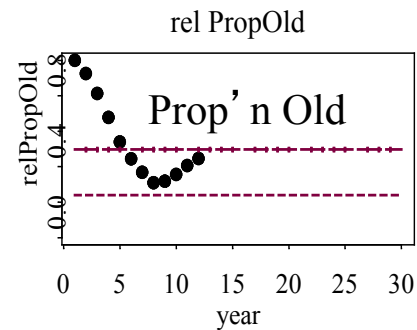
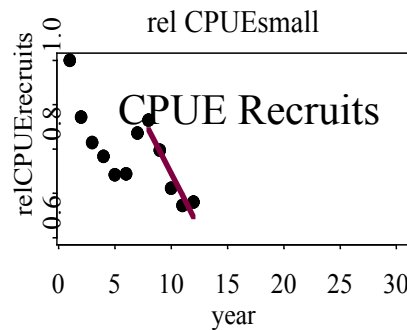
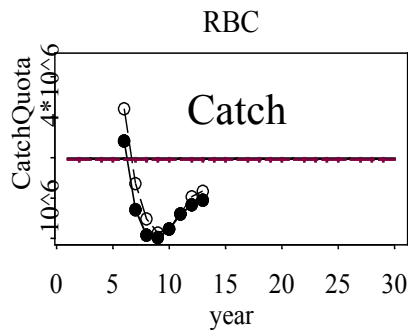
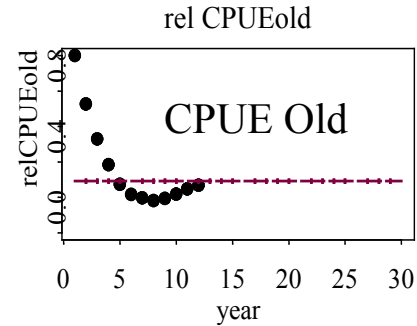
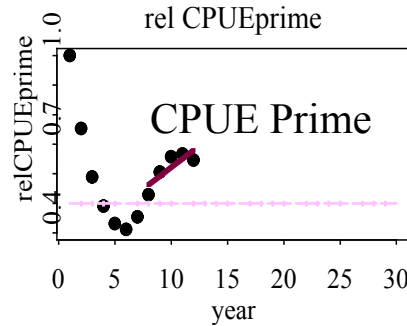
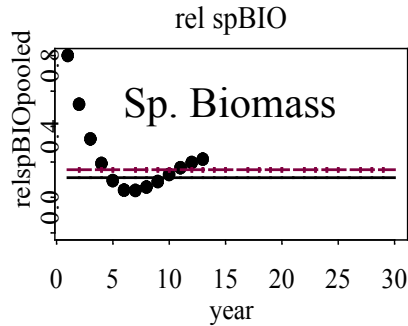
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

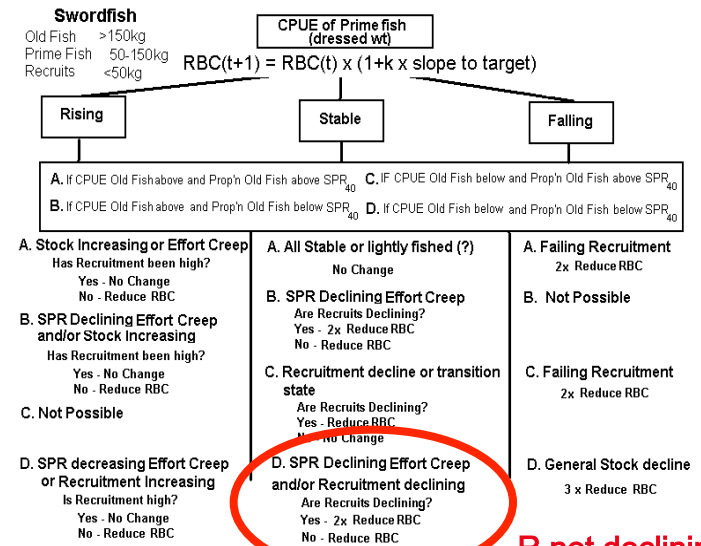
linear trend (showing slope) over last 5 years



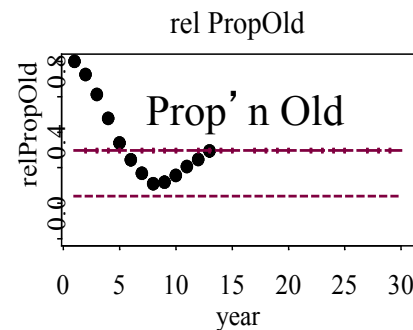
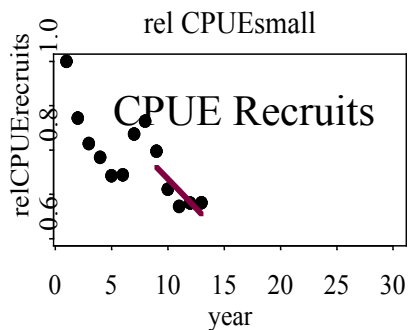
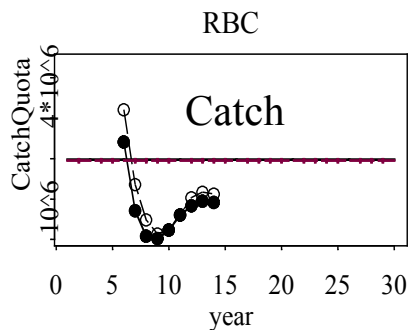
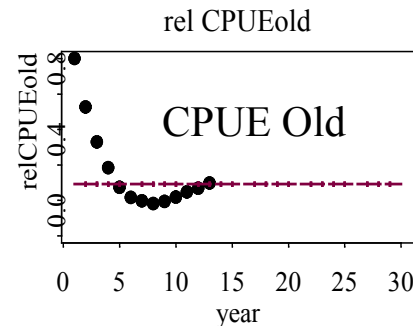
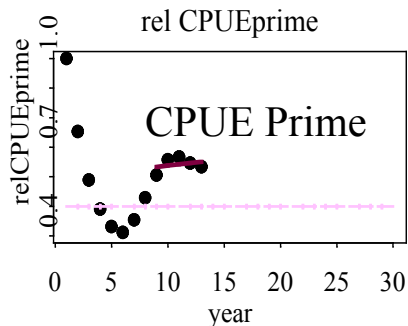
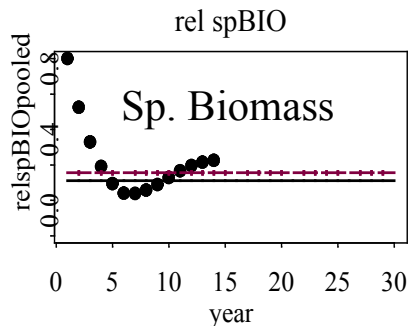
Year = 13



- level at MSY
- - - level at SPR_{40} (decision tree target)
- ... level at SPR_{20} (decision tree limit)
- - - 50% $CPUE_{prime_0}$ (simple decision rule target)
- linear trend (showing slope) over last 5 years



Year = 14



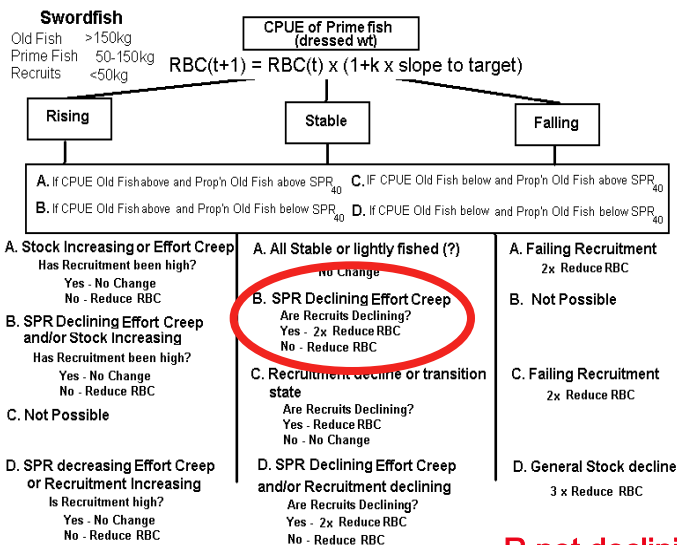
level at MSY

level at SPR_{40} (decision tree target)

level at SPR_{20} (decision tree limit)

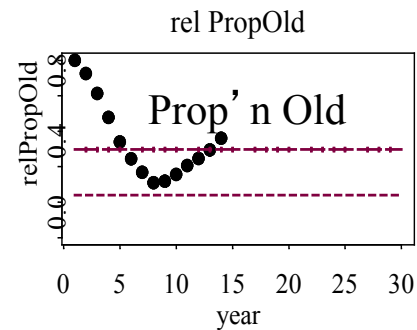
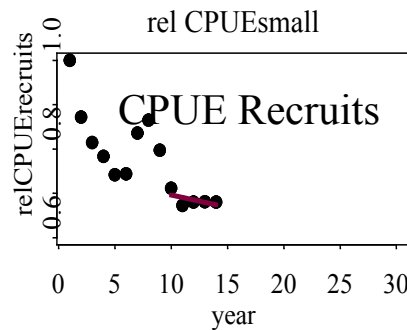
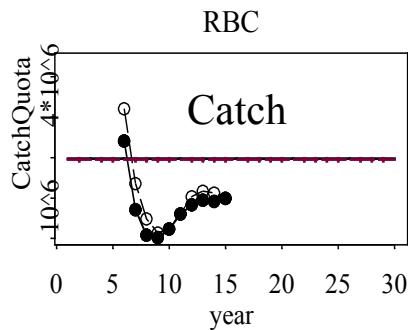
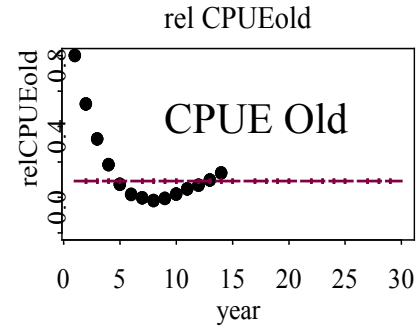
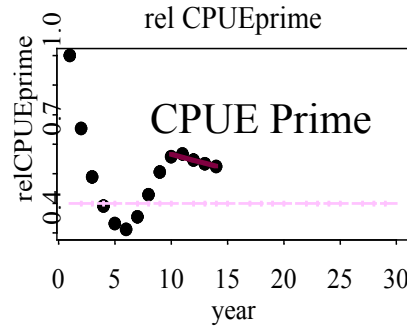
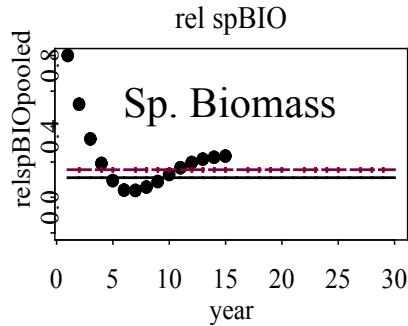
50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



R not declining

Year = 15



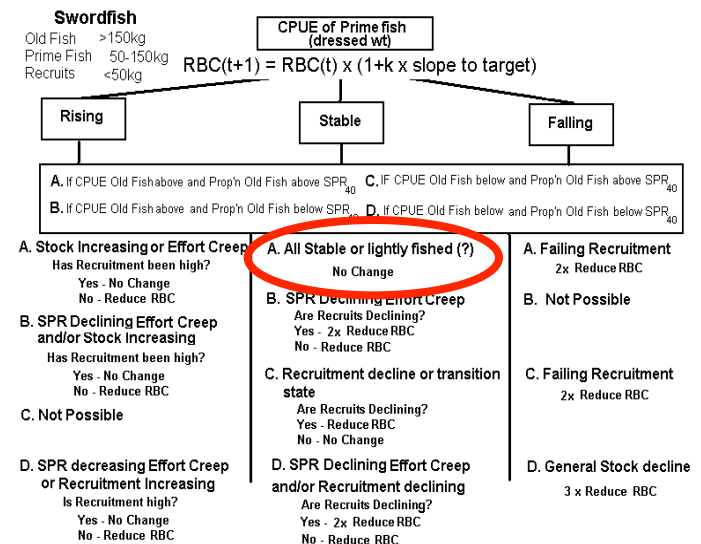
level at MSY

level at SPR_{40} (decision tree target)

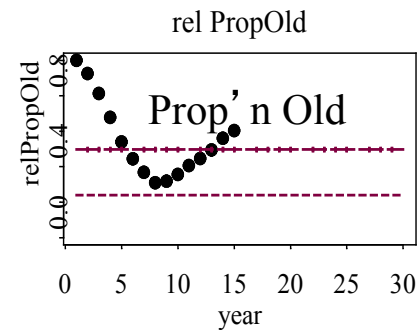
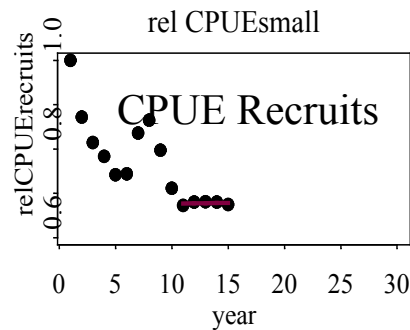
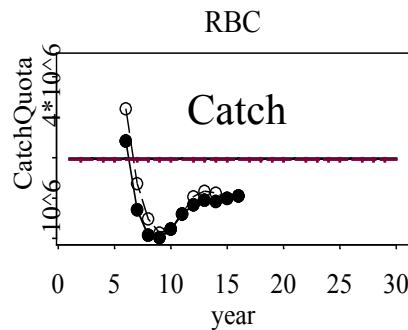
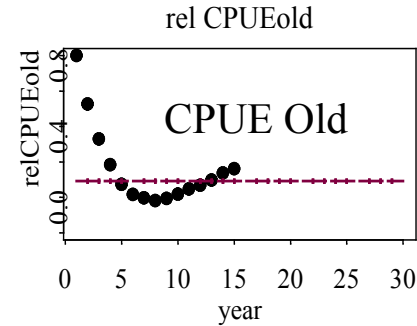
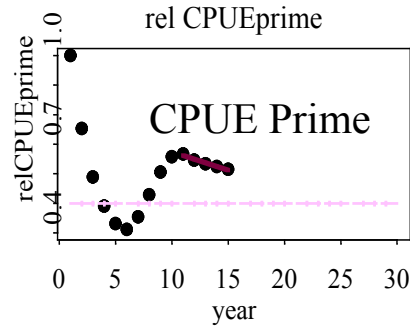
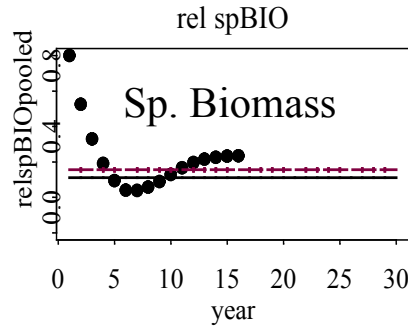
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 16



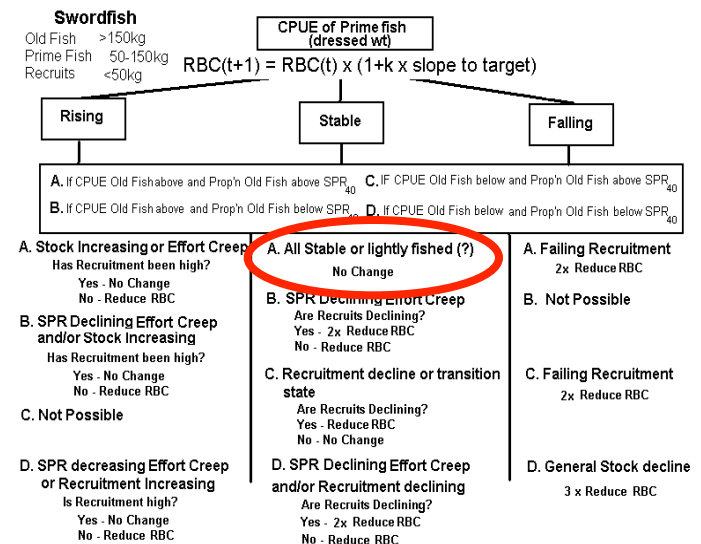
level at MSY

level at SPR_{40} (decision tree target)

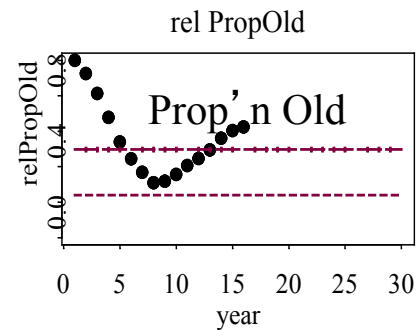
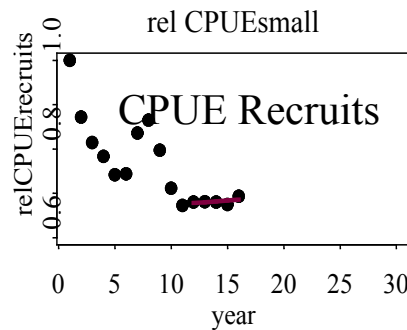
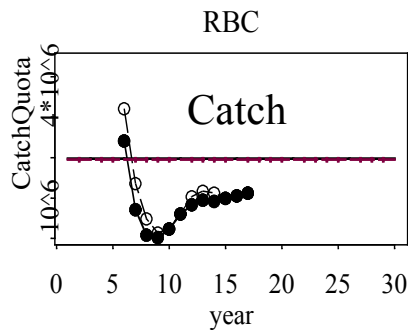
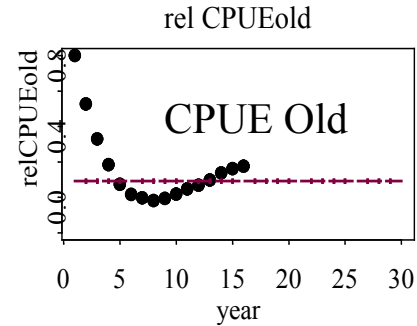
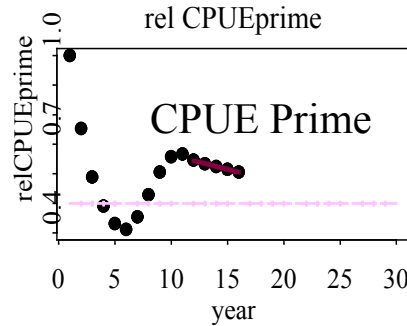
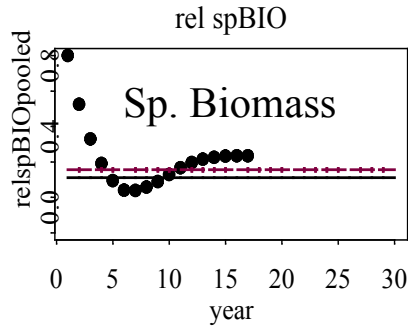
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 17



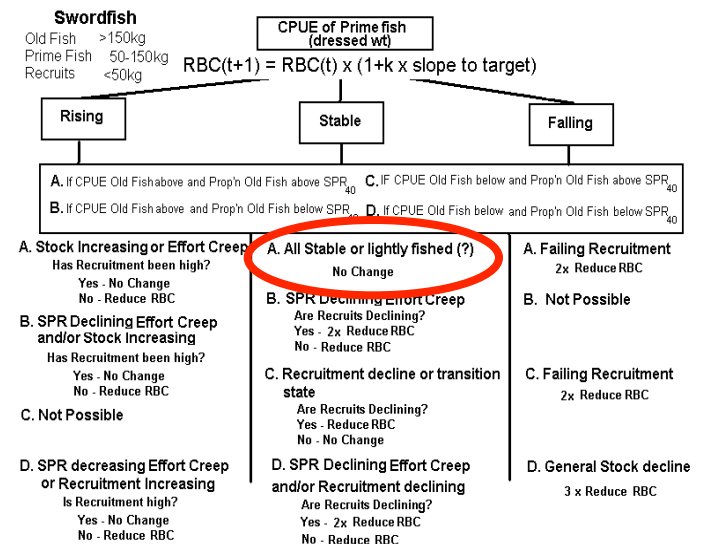
level at MSY

level at SPR_{40} (decision tree target)

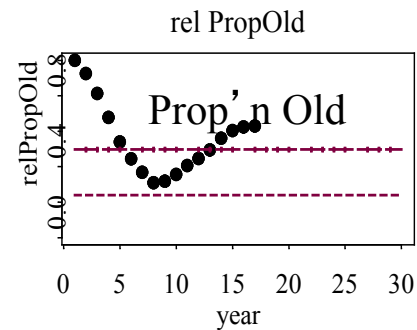
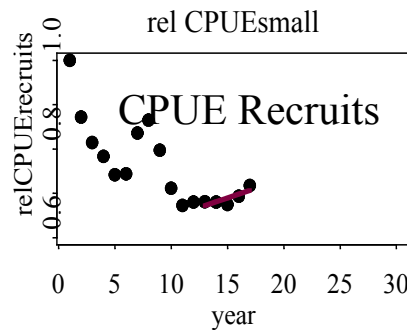
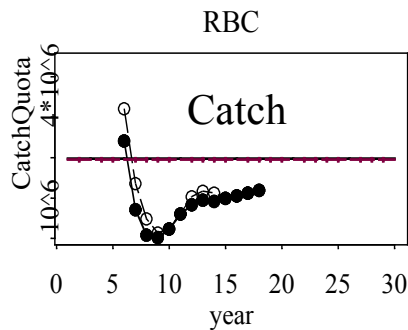
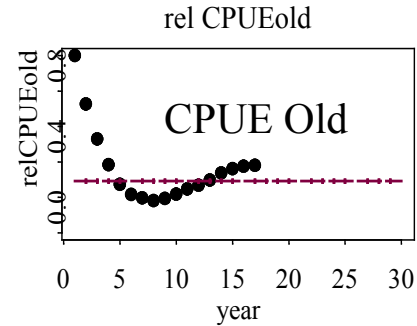
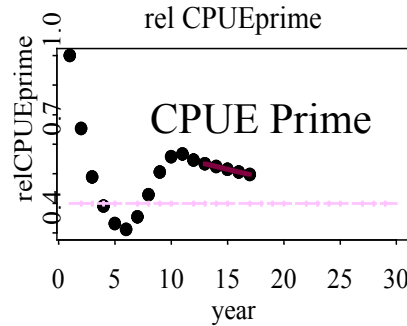
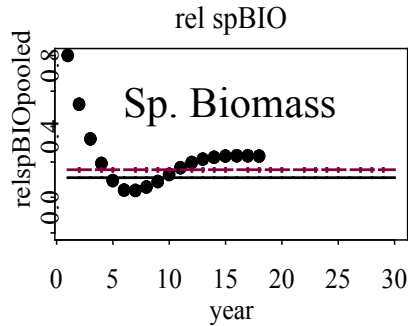
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 18



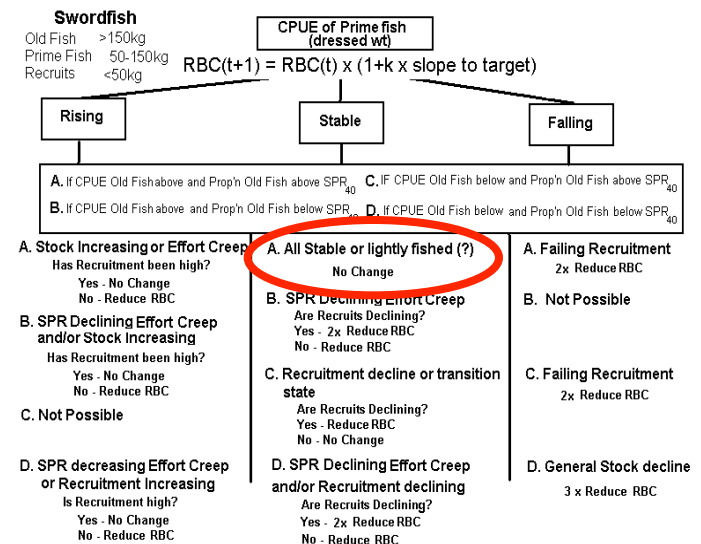
level at MSY

level at SPR_{40} (decision tree target)

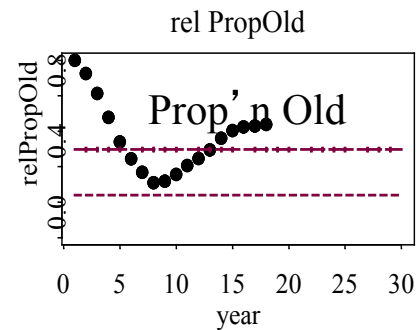
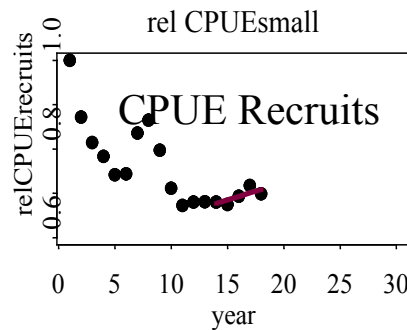
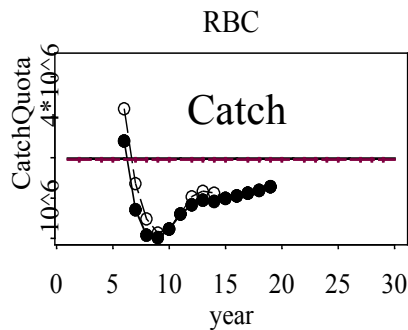
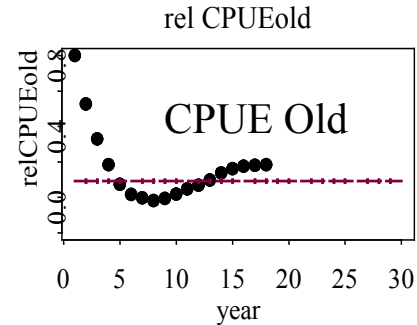
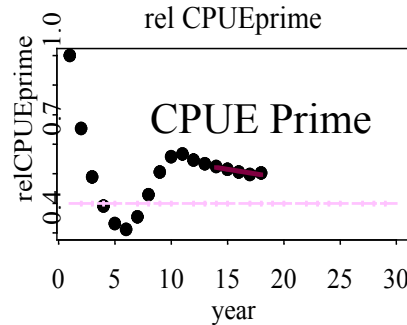
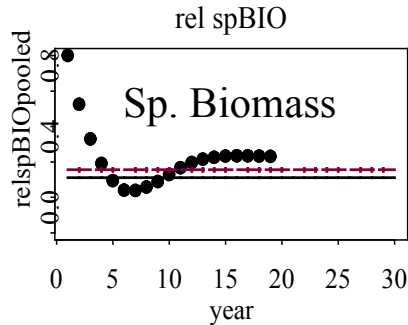
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 19



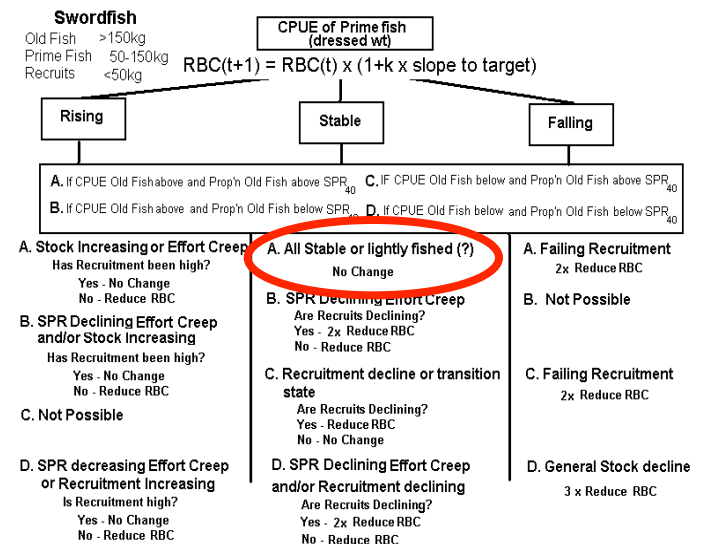
level at MSY

level at SPR_{40} (decision tree target)

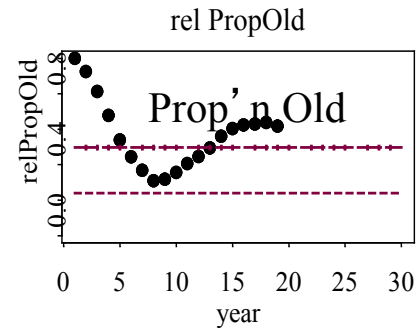
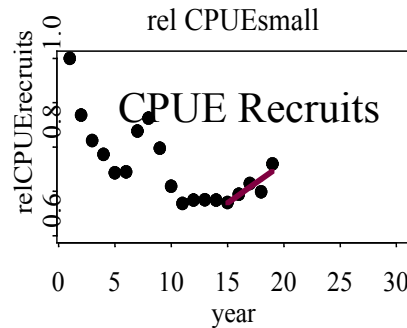
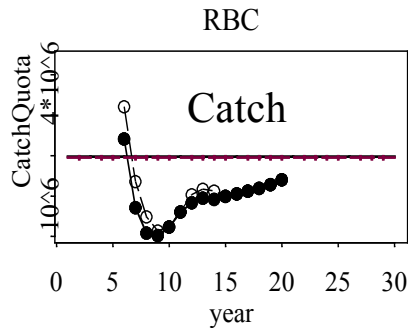
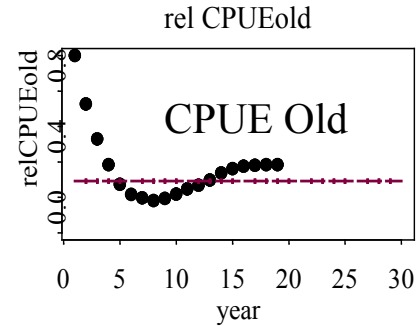
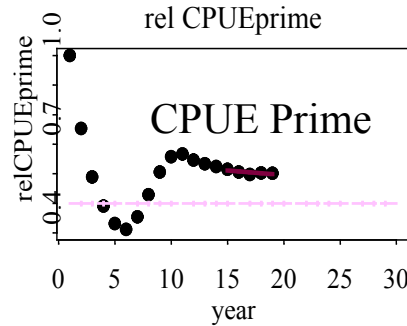
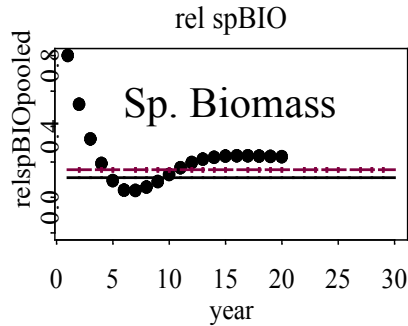
level at SPR_{20} (decision tree limit)






50% $CPUE_{prime_0}$ (simple decision rule target)

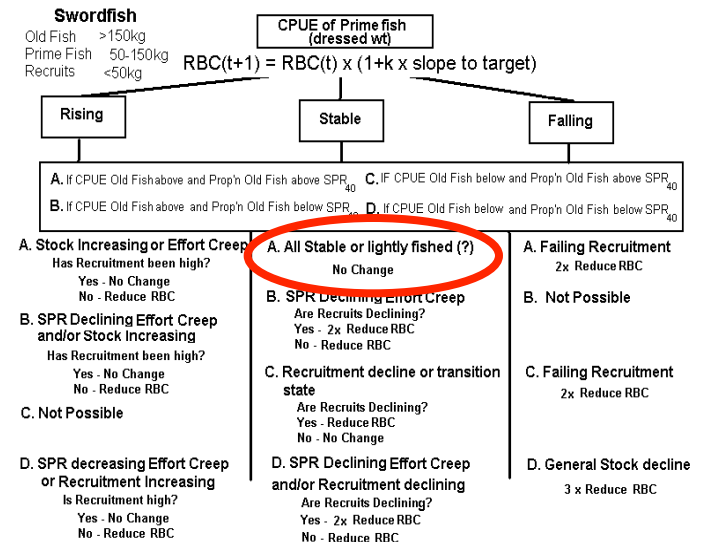
linear trend (showing slope) over last 5 years



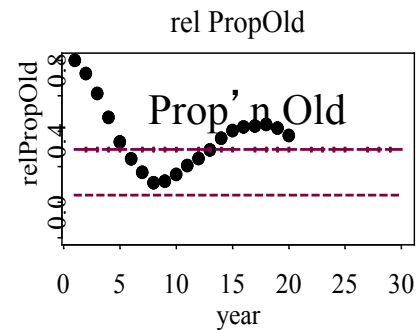
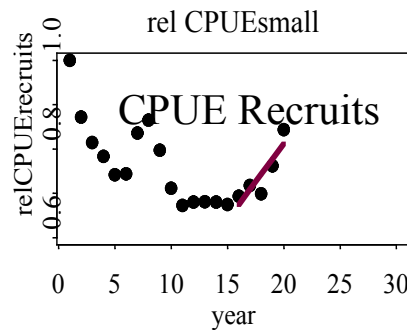
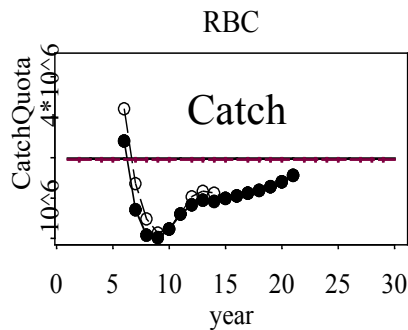
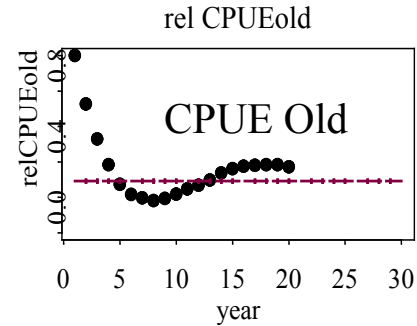
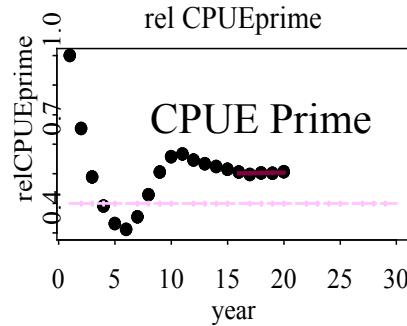
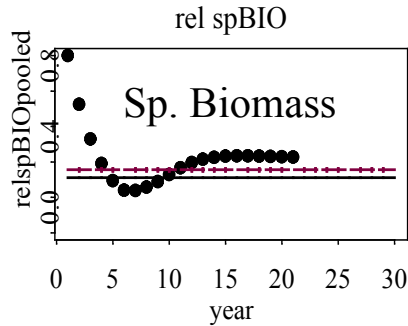
Year = 20



-  level at MSY
-  level at SPR_{40} (decision tree target)
-  level at SPR_{20} (decision tree limit)
-  50% $CPUE_{prime0}$ (simple decision rule target)
-  linear trend (showing slope) over last 5 years



Year = 21



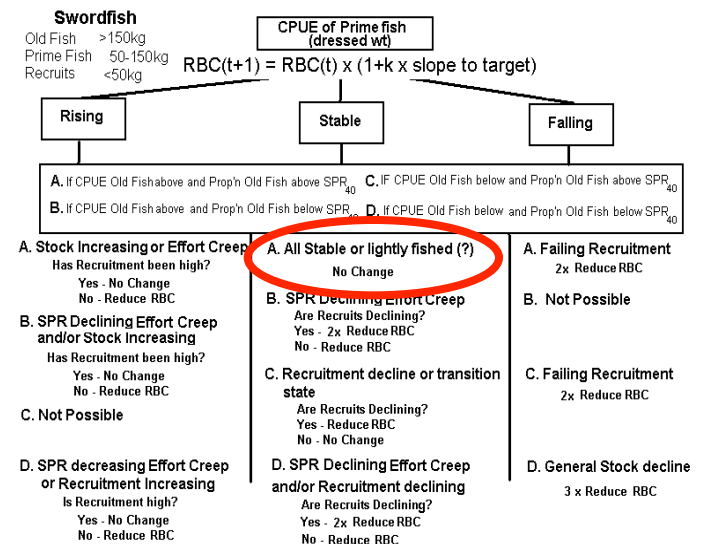
level at MSY

level at SPR_{40} (decision tree target)

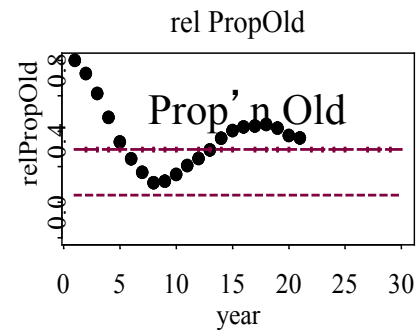
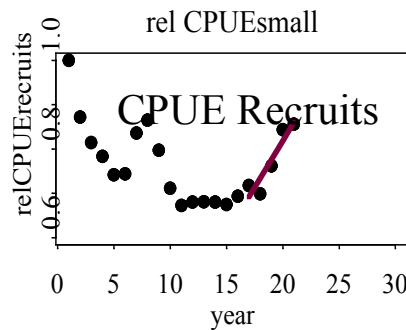
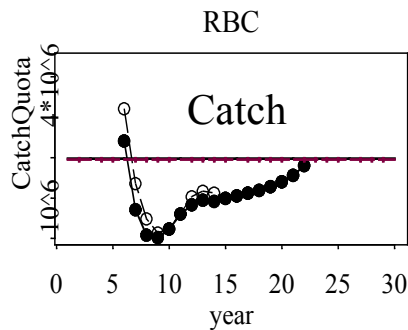
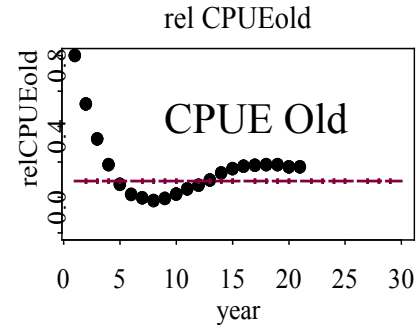
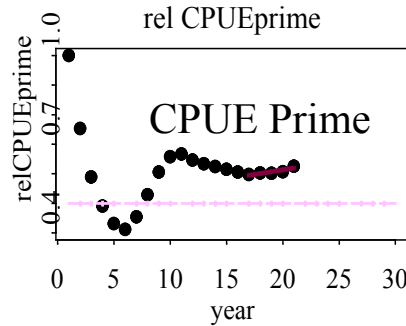
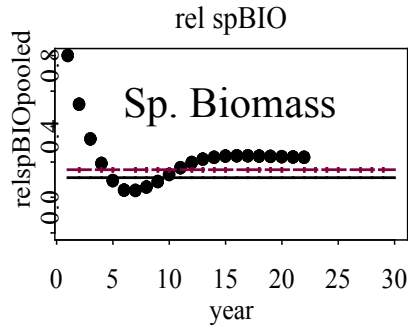
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 22



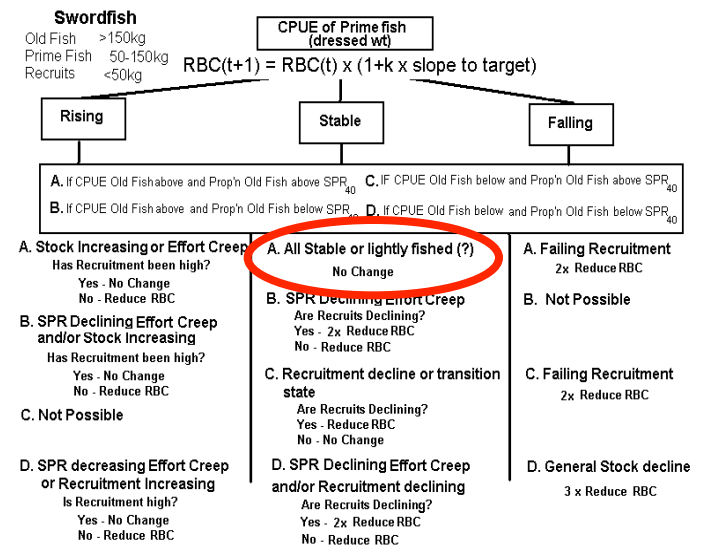
level at MSY

level at SPR_{40} (decision tree target)

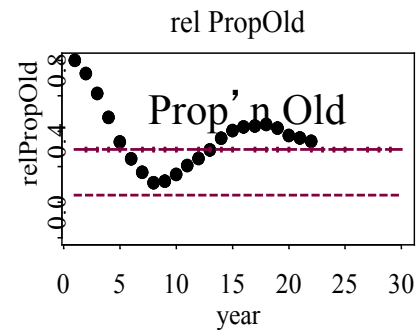
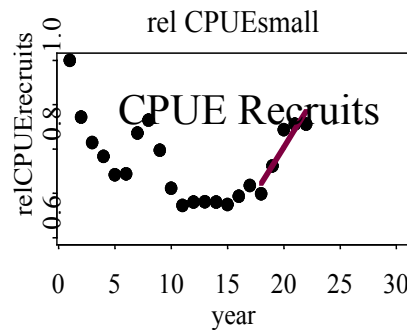
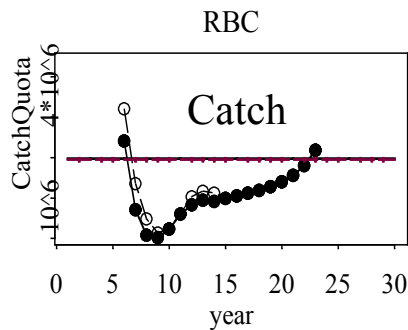
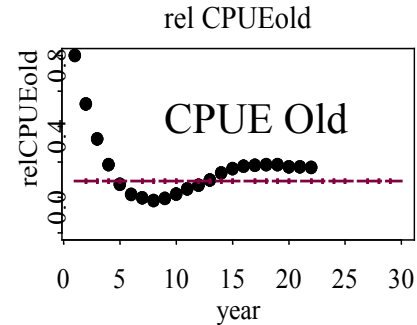
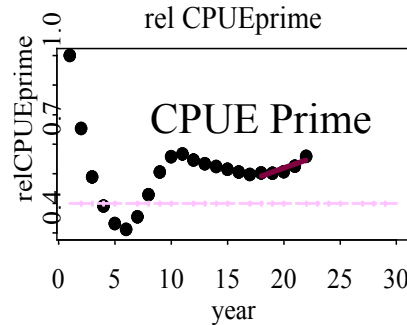
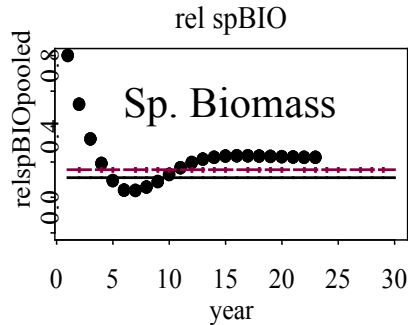
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 23



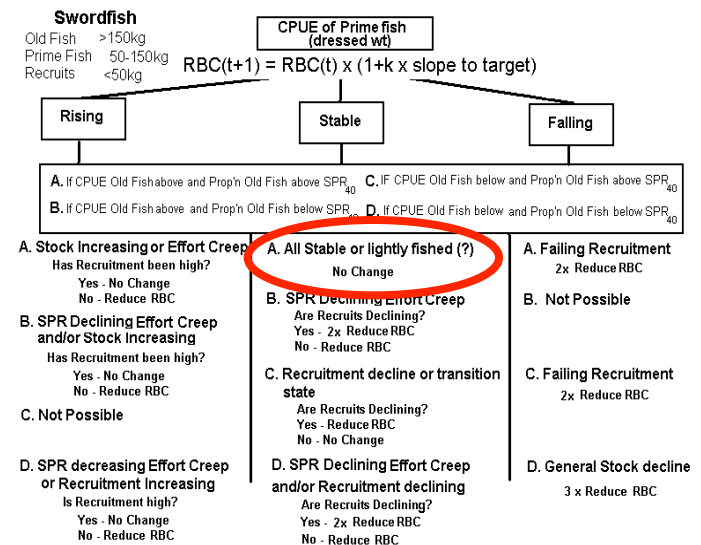
level at MSY

level at SPR_{40} (decision tree target)

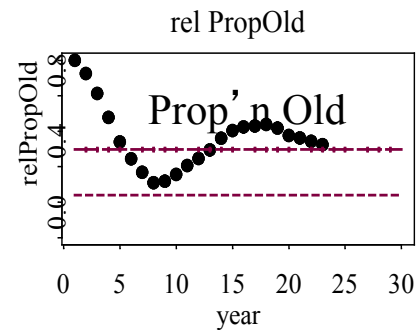
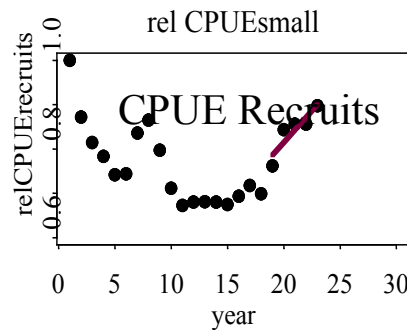
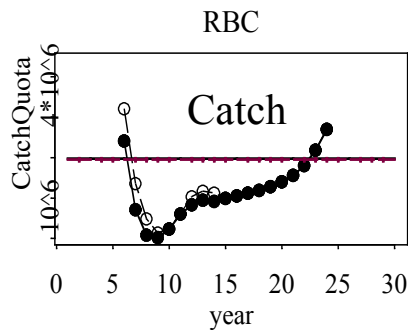
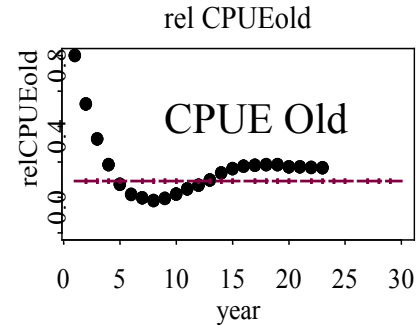
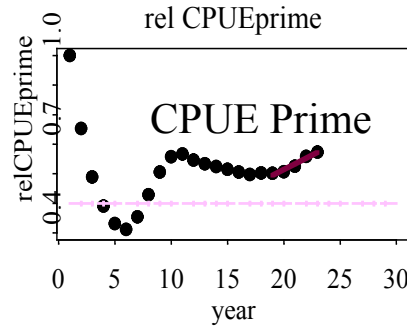
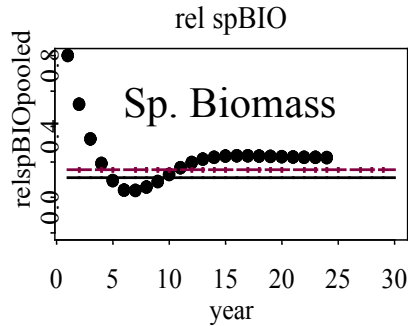
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 24



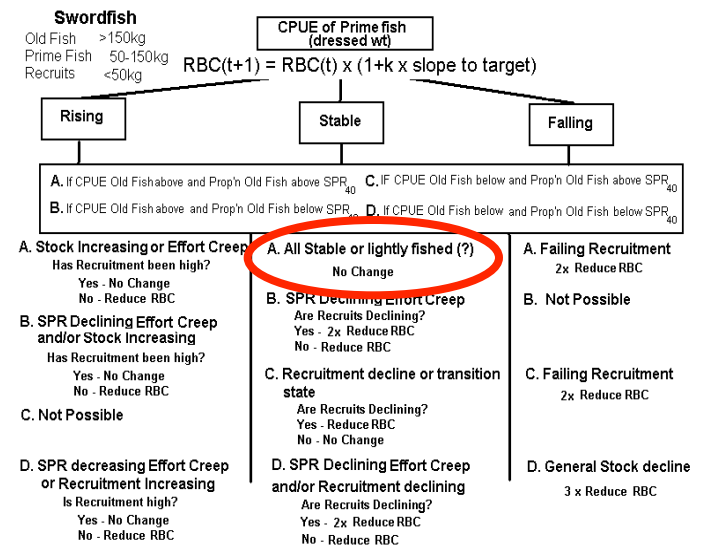
level at MSY

level at SPR_{40} (decision tree target)

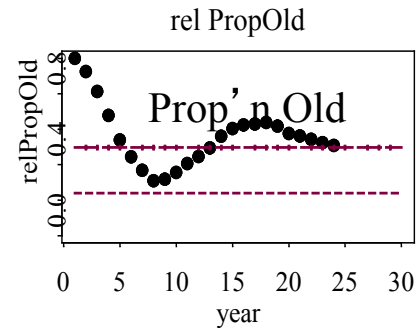
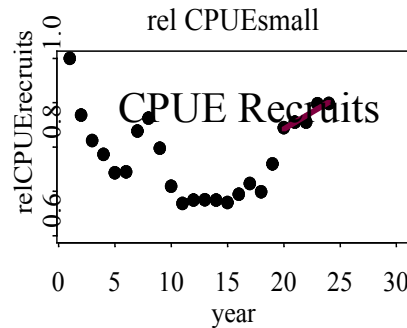
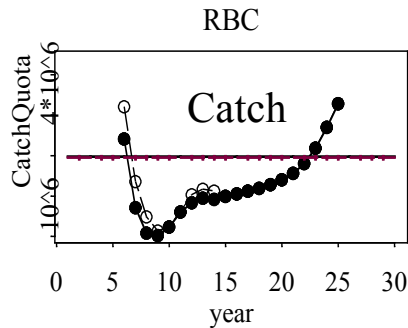
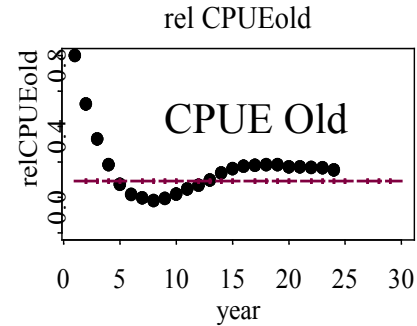
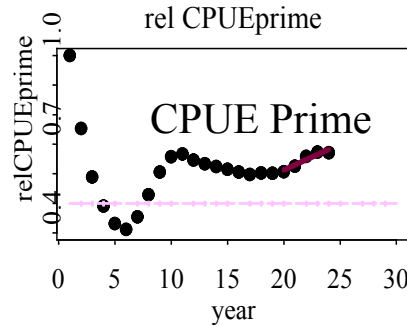
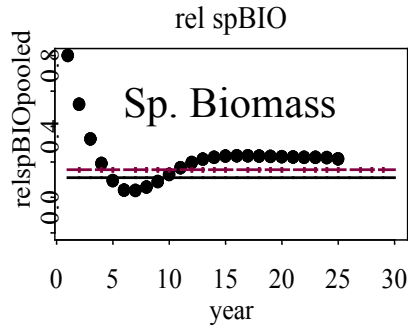
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 25



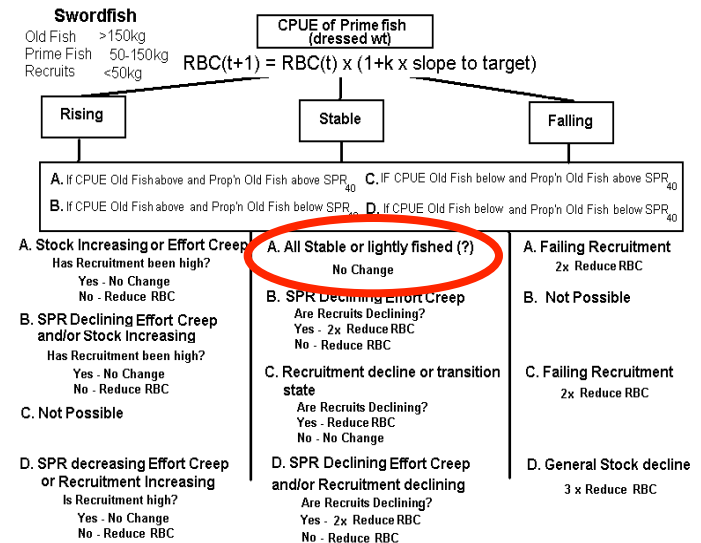
level at MSY

level at SPR_{40} (decision tree target)

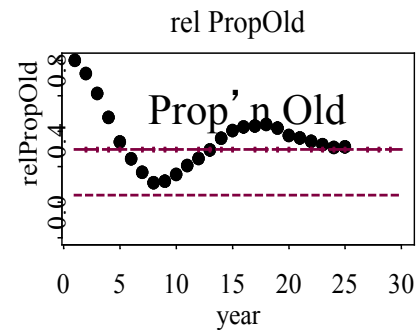
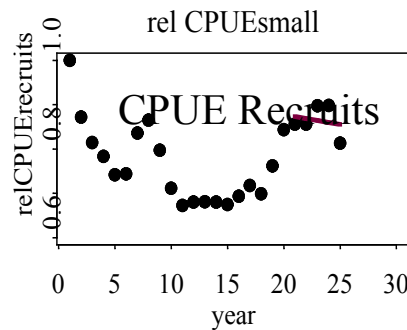
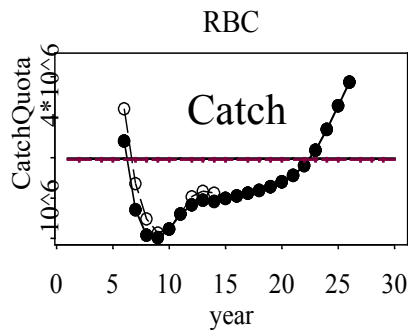
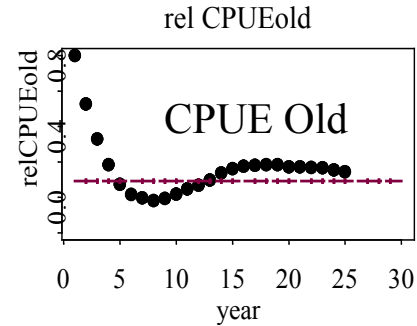
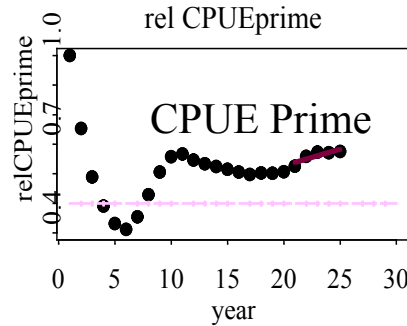
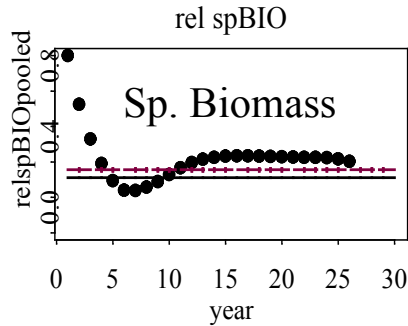
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 26



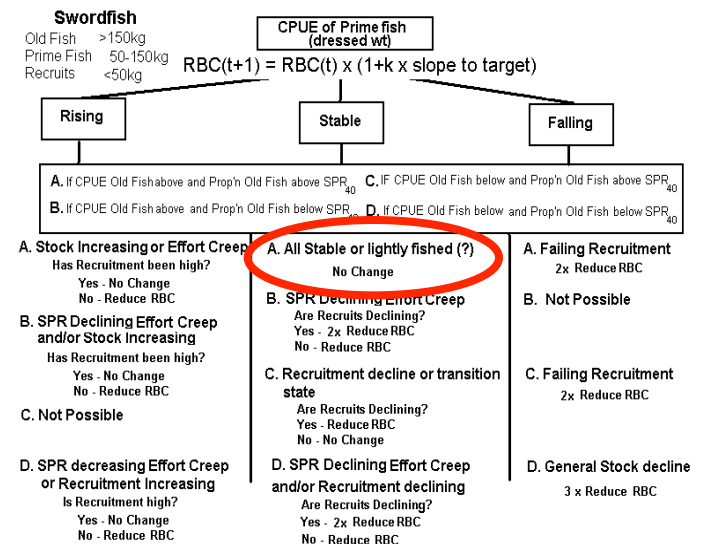
level at MSY

level at SPR_{40} (decision tree target)

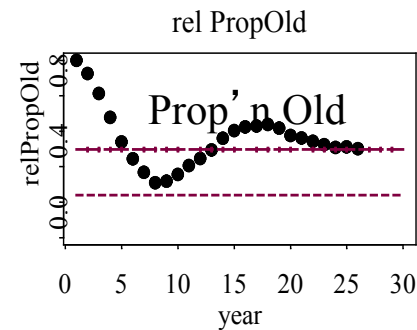
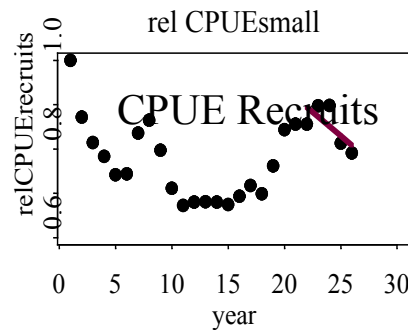
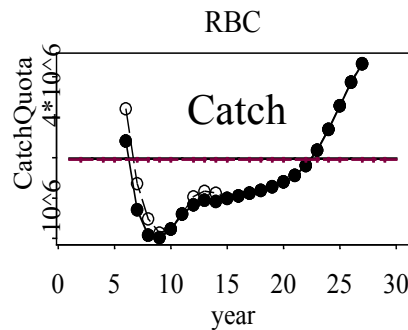
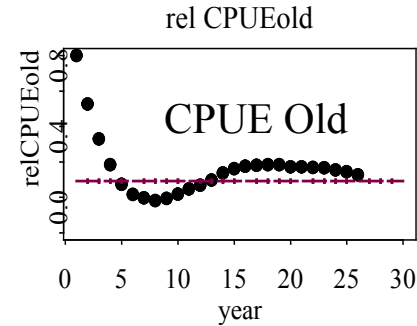
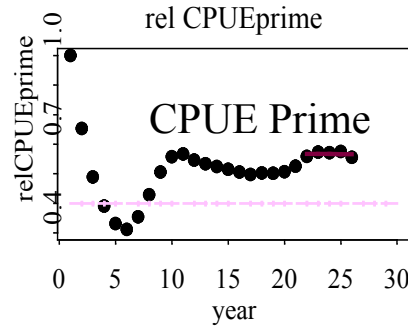
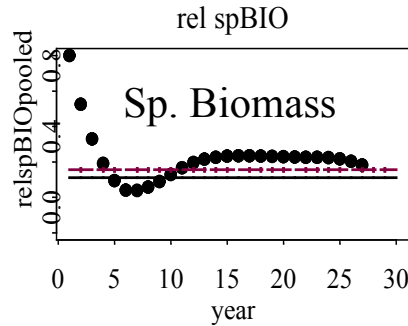
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 27



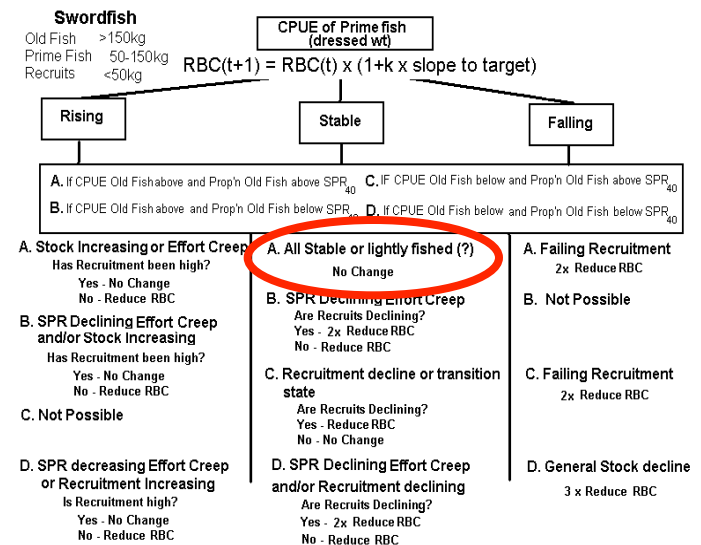
level at MSY

level at SPR_{40} (decision tree target)

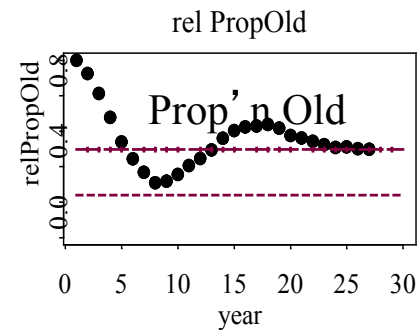
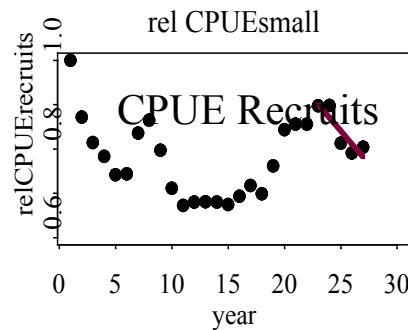
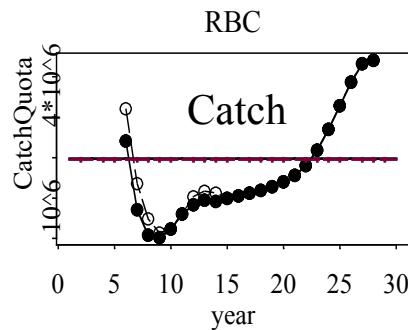
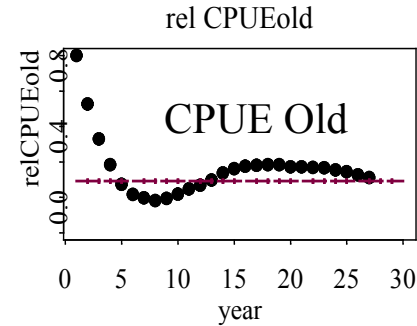
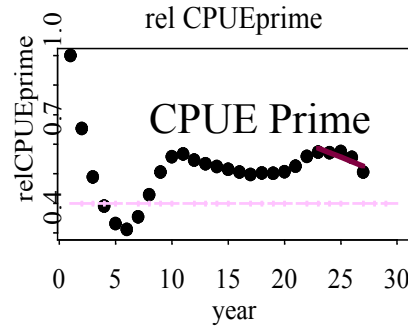
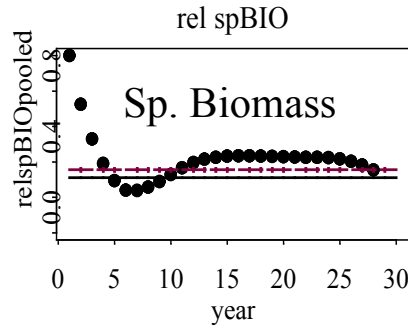
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 28



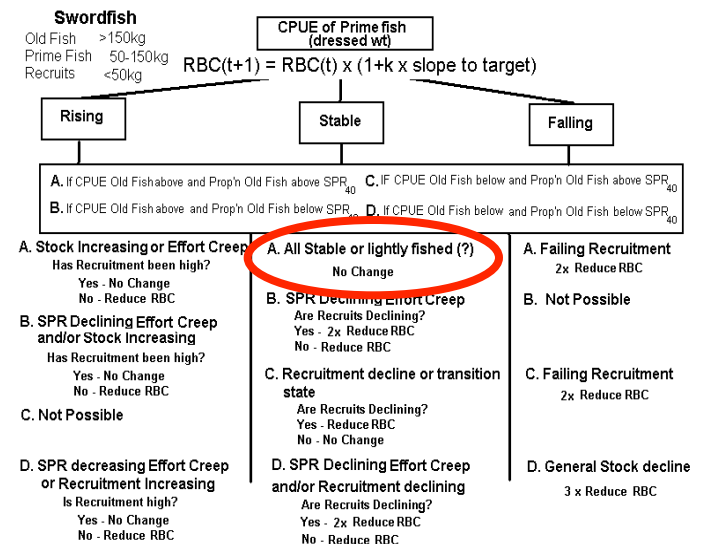
level at MSY

level at SPR_{40} (decision tree target)

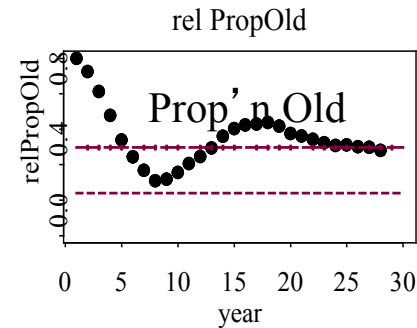
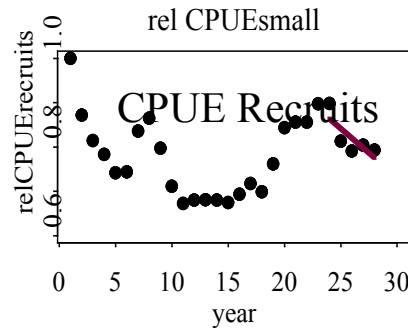
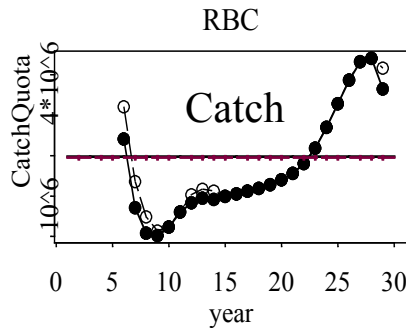
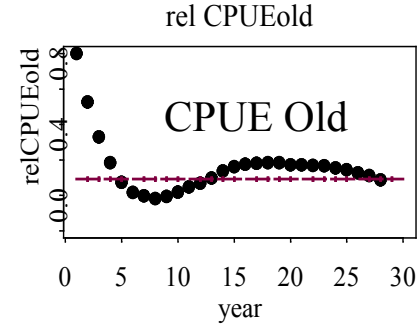
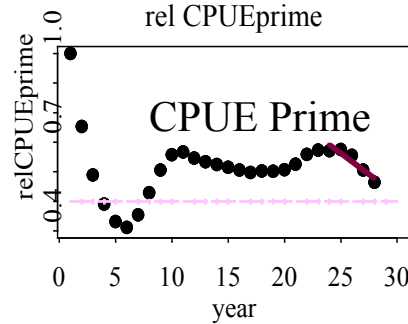
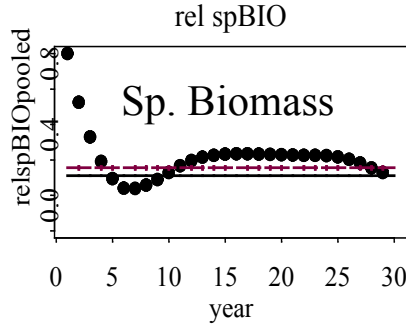
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 29



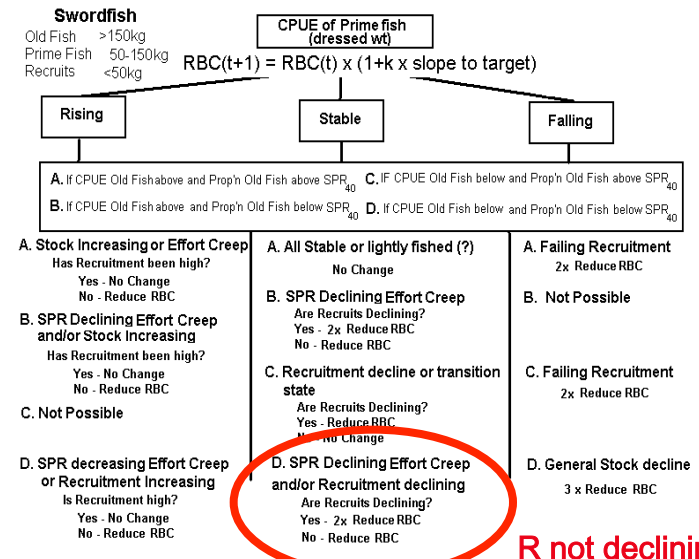
level at MSY

level at SPR_{40} (decision tree target)

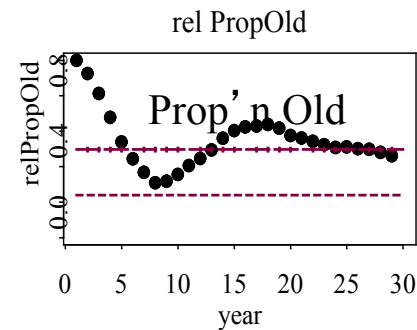
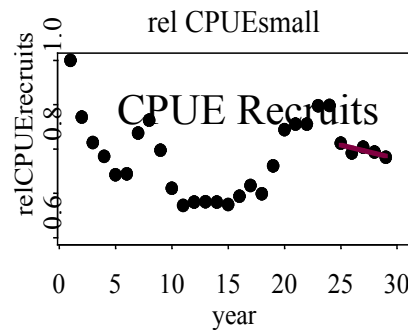
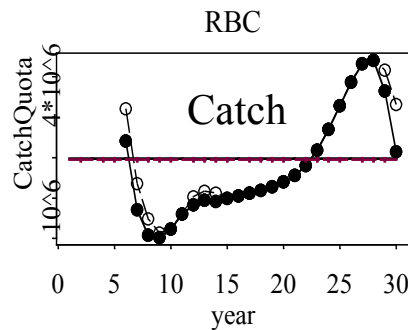
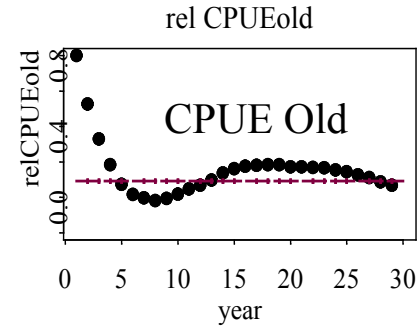
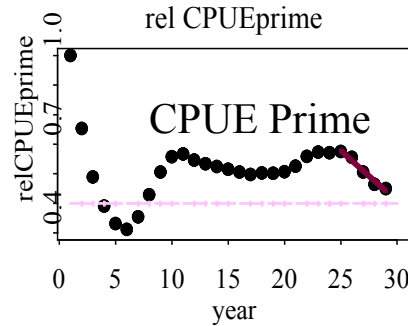
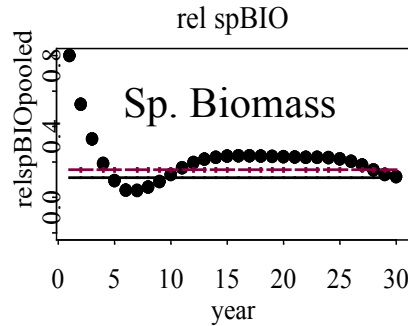
level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



Year = 30



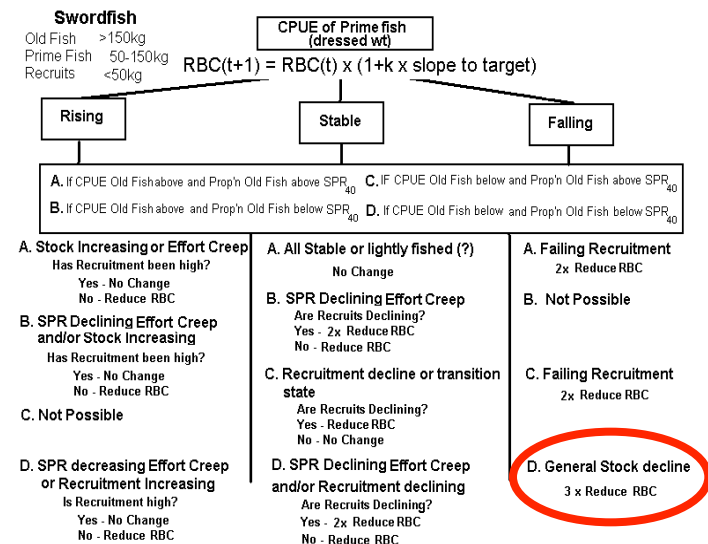
level at MSY

level at SPR_{40} (decision tree target)

level at SPR_{20} (decision tree limit)

50% $CPUE_{prime_0}$ (simple decision rule target)

linear trend (showing slope) over last 5 years



From \$1 million to \$100,000 Harvest Strategies with SPR Decision Trees

- Simple scale-less system for conserving local SPR**
- No knowledge or assumptions about spatial structure required**
- No biomass estimates**
- SPR conditioned decision trees using CPUE & size**
- Incremental changes to management to achieve target SPR levels**
- Optimal catch level 'discovered' when target SPR achieved**

But ... still requires knowledge of growth, reproduction and mortality rates

**.....from \$100,000 down to.....
\$5,000 Harvest Strategies**

Extending the Principal of Beverton-Holt Life History Invariance to Empirically Estimate Size based SPR Reference Points

Beverton-Holt Life History Invariance

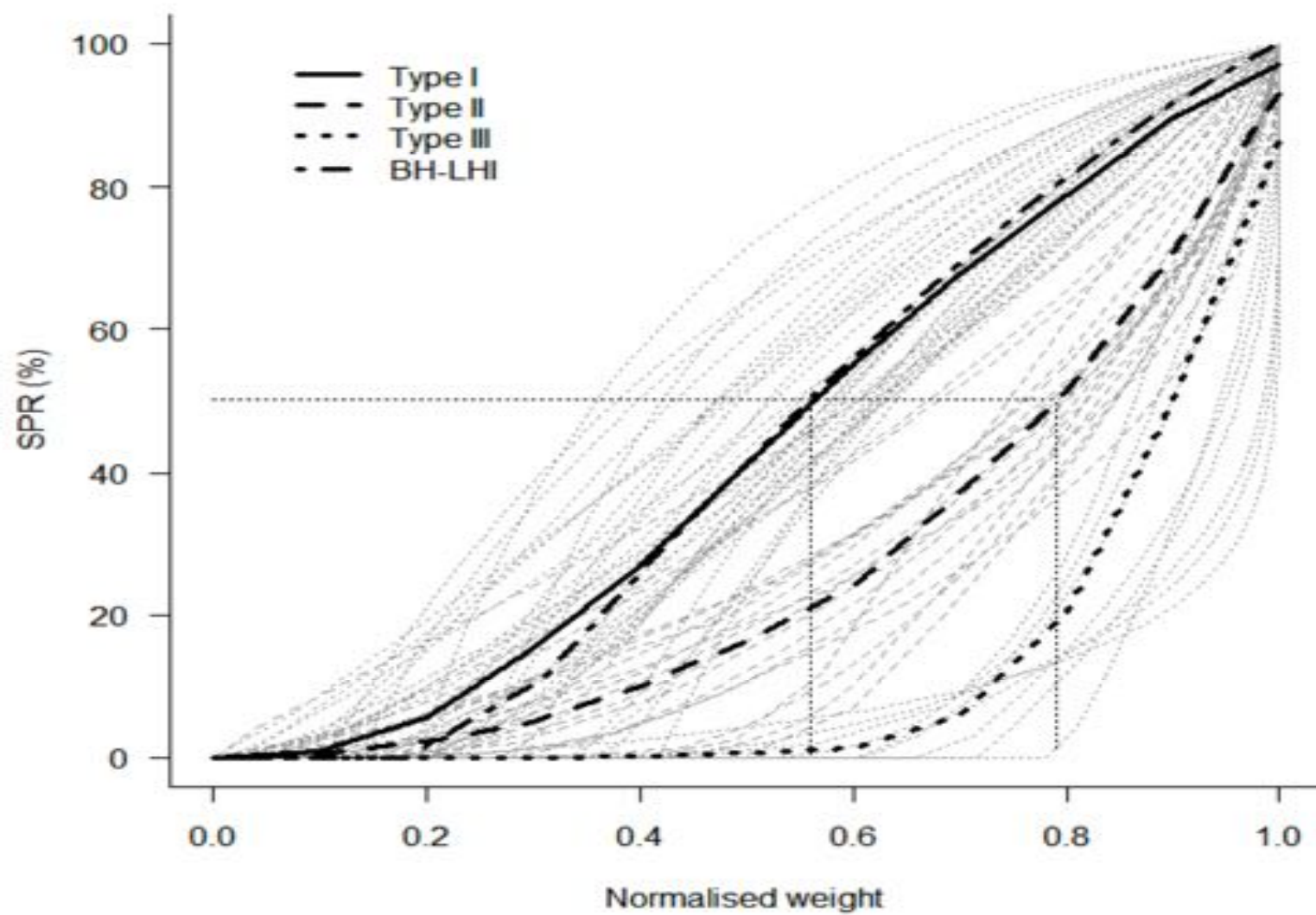
Correlation between life history parameters:

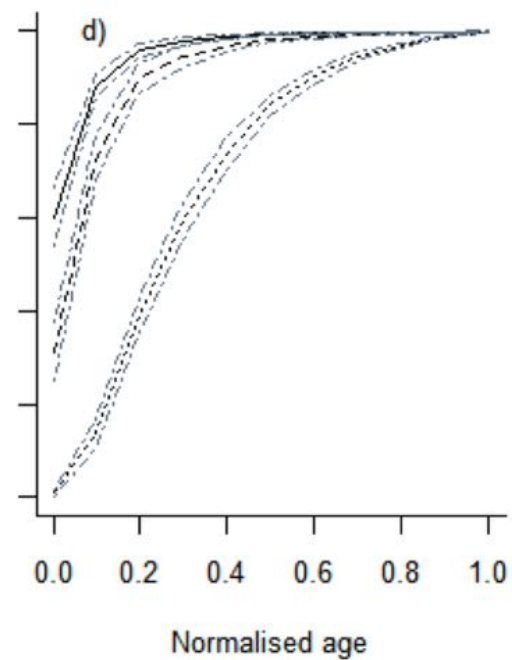
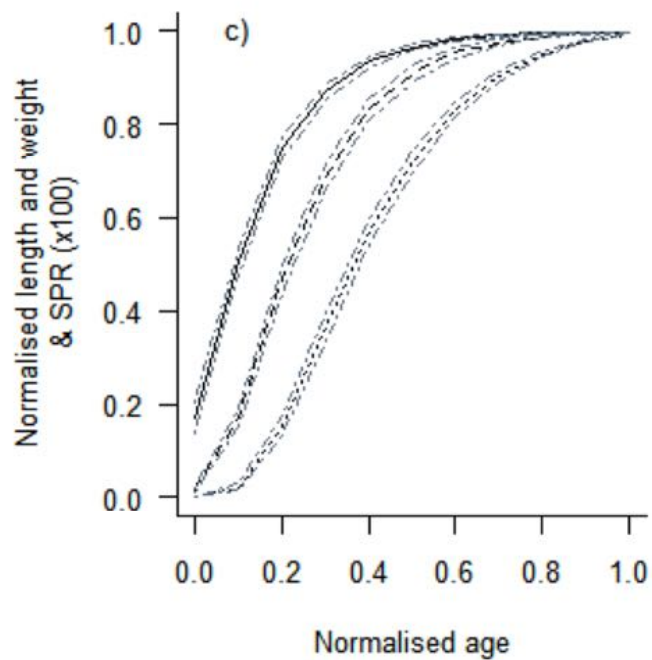
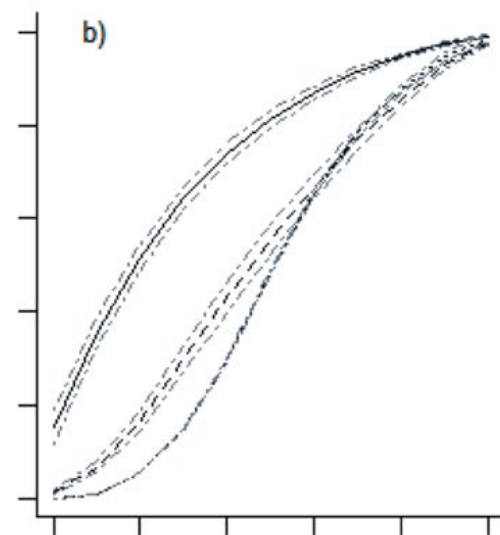
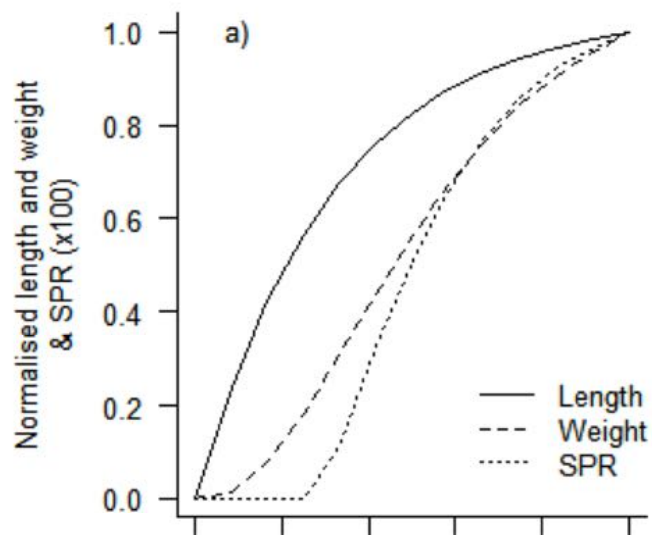
$L_m/L_{inf} = 0.66$, $M/k = 1.5$ and $M \times Age_m = 1.65$ (Jensen 1996)

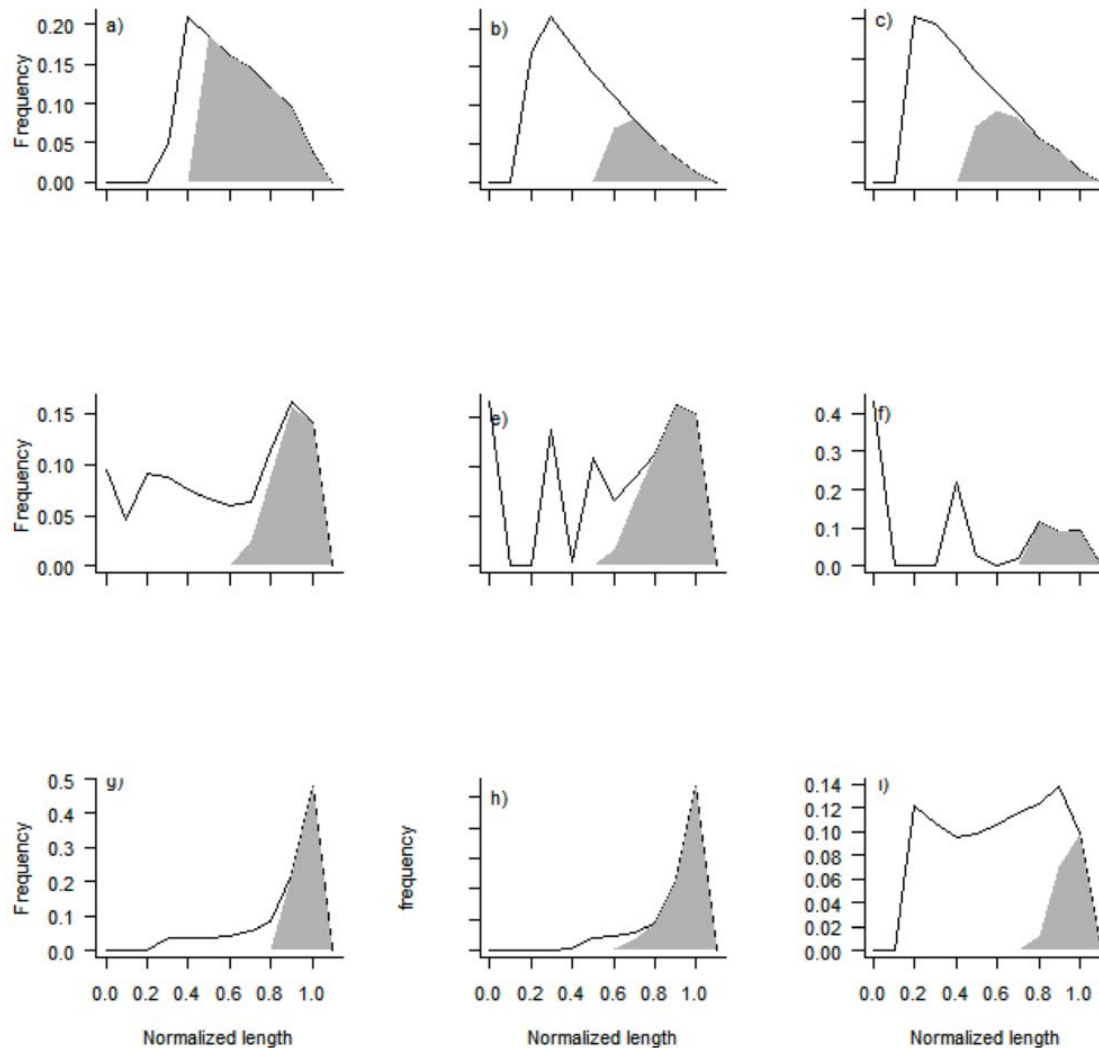
Used extensively to specify stock assessment models.

Meta-Analysis

- 63 species for all SPR parameters robustly estimated directly; biological studies of tagging or ageing in unexploited or lightly fished populations, or estimated by integrated stock assessment.
- No use of B-H derived parameters.
- SPR models for each species
- Standardized wt., length, age & SPR estimated assuming 100% when cohort declines to 0.1% of original size







Indicative length-frequency histograms estimated for unfished populations of 9 species used in this analysis; Type I a) tiger flathead (*Neoplatycephalus richardsoni*), b) sharpnose shark (*Rhizoprionodon taylori*), c) gulf menhaden (*Brevoortia patronus*), Type II d) banana prawn (*Penaeus mergueiensis*), e) kawhai (*Arripis trutta*), f) sandbar shark (*Carcharinus plumbeus*), Type III g) sperm whale (*Physteter macrocephalus*), h) Mexican geoduck (*Panopea globosa*), i) school shark (*Galeorhinus galeus*). Shading indicates adult component of each length-frequency histogram. These length –frequency histograms were estimated on the basis of the parameters sets used in this meta-analysis.

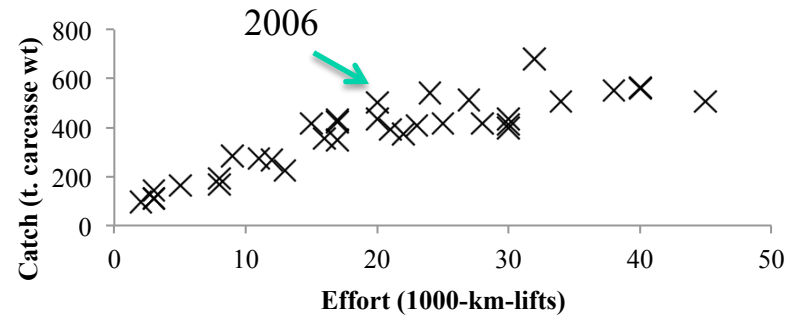
\$5000 Harvest Strategies

- 1 Parameterize SPR Decision trees empirically with size composition studies.
- 2 Monitor CPUE & size in the catch.
- 3 Incrementally adjust local catch / effort / size limits / MPAs until local target size & CPUE are achieved.
- 4 Replicate, Replicate, Replicate

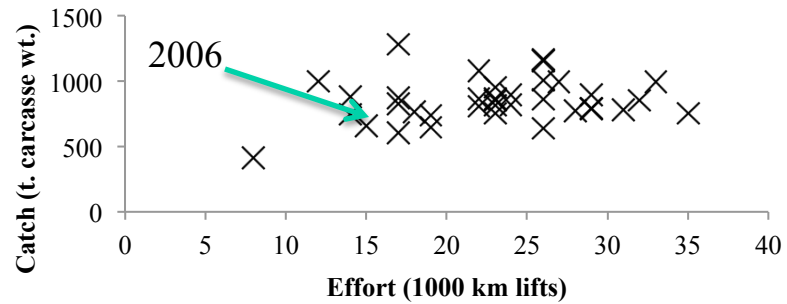
The End



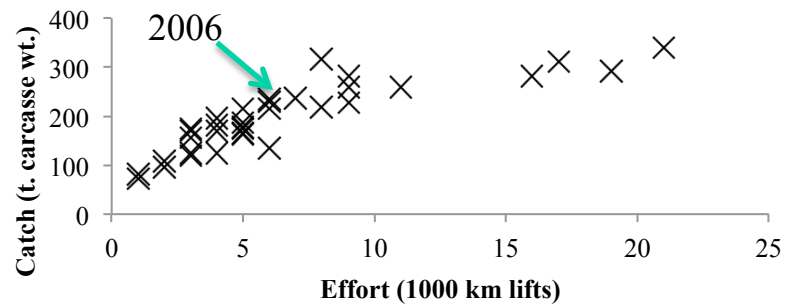
SA - Catch vs Effort



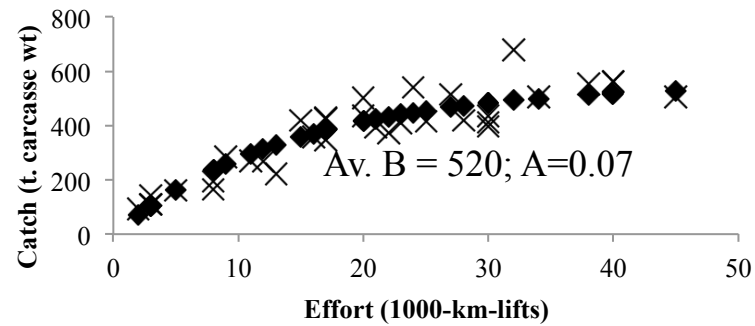
Vic - Catch vs Effort



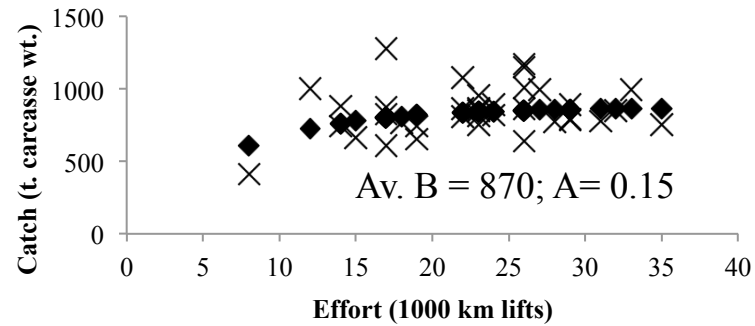
Tas - Catch vs Effort



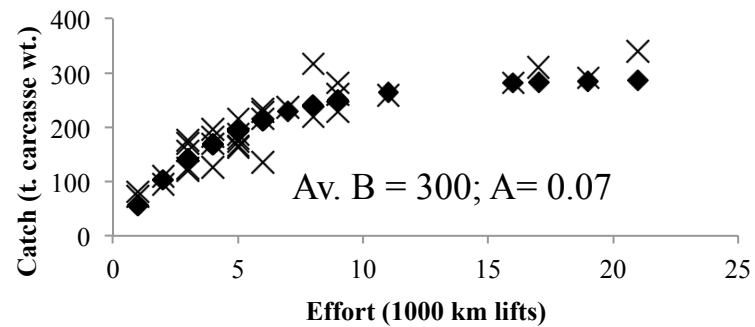
SA - Catch vs Effort



Vic - Catch vs Effort



Tas - Catch vs Effort



SA - Catch vs Effort

