

*Science, Service, Stewardship*

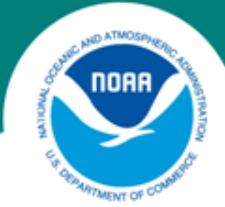


## **NEFSC Socioeconomic Performance Measures**

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East Coast Forum  
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**NOAA  
FISHERIES  
SERVICE**



## Goals of NEFSC/SSB Performance Measures Program

- ***Proactive socio-economic data analysis:*** Economic & socio-cultural economic information on fisheries performance provided to managers and stakeholders *on an ongoing basis* - not only as a reaction to a proposed regulatory action.
- ***Communication:*** Fisheries performance information that serves as common ground for discussion and the “go-to” source for inquiries about what’s going on in the fisheries.
- ***Assessment:*** Are we meeting management plan objectives? What are the issues, successes, and failures?
- ***Comparison of Performance under Different Regulatory Mechanisms:*** E.g. Catch Share & Non-Catch Share Fisheries



## Context: Need & Opportunity for Developing Performance Measures

Opportunity: Funds available to invest in new social science data collection tools

Framework for investments in socioeconomic research moving forward

Implementation of sector program: paradigm shift in management in NE

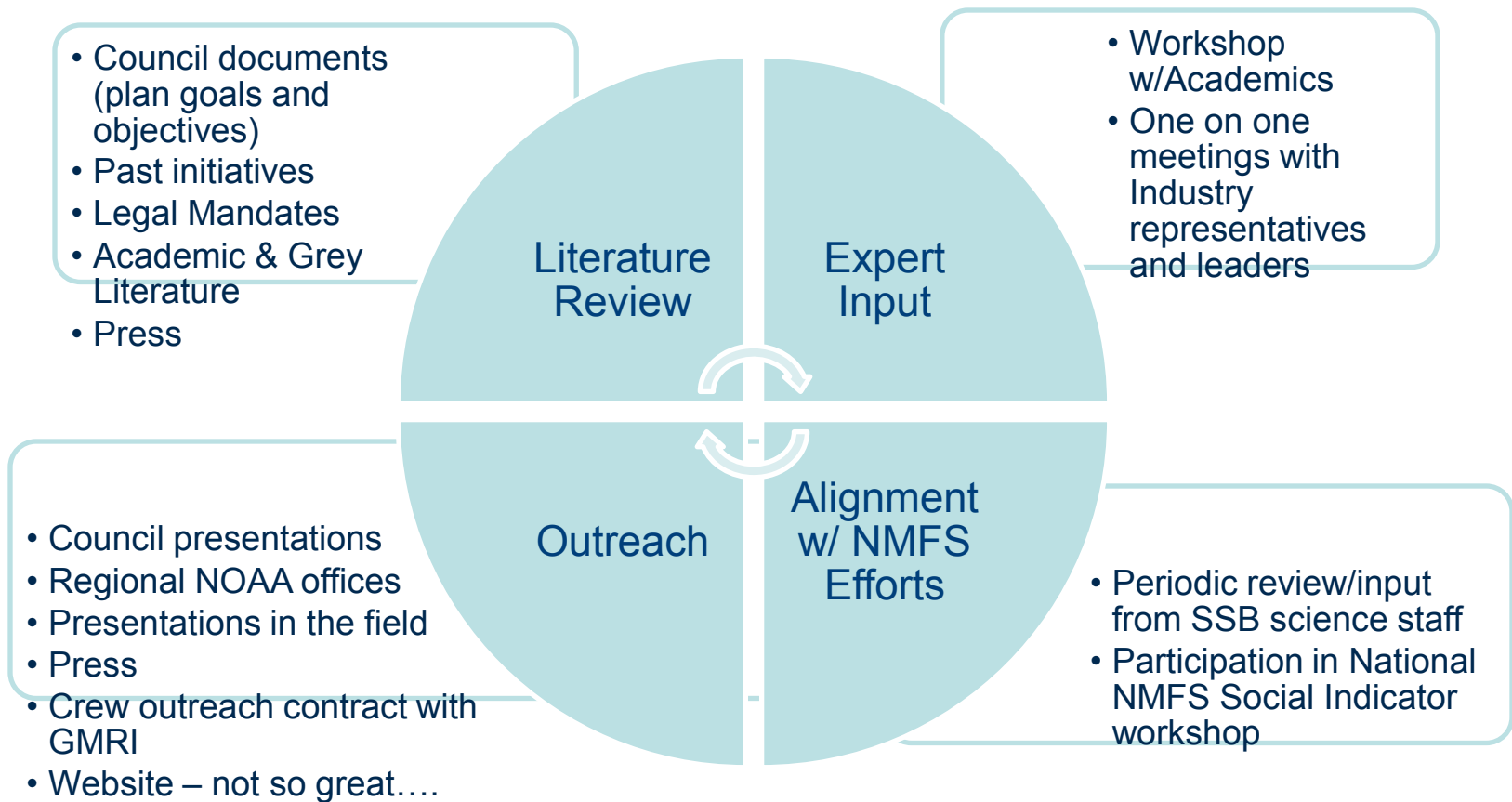
Management plan goals lacked formal process for review/evaluation of socio economic outcomes

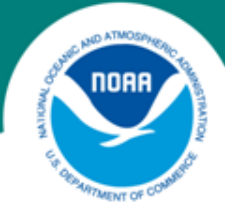
Linking Knowledge to Action: Necessary for adaptive management

Concern: over socio-economic outcomes



# Sources of Knowledge and Feedback





## Objectives: MSA

### MSA National Standards

- **Allocation** fair and equitable (NS 4)
- Promote **efficiency** (NS 5)
- Minimize **costs** (NS 7)
- Minimize impacts to fishing **communities** (NS 8)
- Minimize **bycatch** (NS 9)
- Promote **Safety** (NS 10)

### Limited Access Privilege Programs - MSA

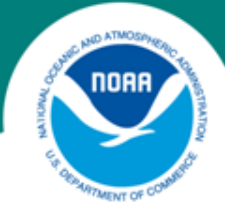
- Section 303A, Part (c)
- LAPP must reduce **capacity** if over-capacity exists
- LAPP must promote **safety** and **social and economic benefits**
- Section 303A, Part (d)(5)
- Promote the sustained participation of **small owner-operated** fishing vessels and **fishing communities**
- Address excessive **consolidation**
- Quota set-asides for **entry-level** and small vessel owner-operators, **captains, crew**, and fishing communities
- No **excessive shares**



## Objectives: FMPs

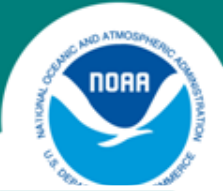
### FMP Goals and Objectives

- **Groundfish Amendment 16**
- Control **capacity** to achieve economic **efficiency**
- Encourage **diversity** of the fishing fleet
- Minimize adverse impacts on fishing **communities** and **shoreside infrastructure**
- Promote **stewardship**
- Minimize **bycatch**
- **Scallop Amendment 11**
- Control **capacity** and mortality
- **Tilefish Amendment 1**
- Prevent **overcapitalization** and limit new entrants to achieve OY
- **Surf clam/ocean quahog Amendment 8**
- Economic **efficiency**
- Control **capacity**
- Simplifying **management**
- Allow for regulatory **flexibility and adaptation.**



# NEFSC/SSB Performance Measures





## Performance Measures Defined

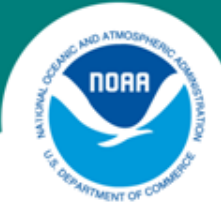
### financial viability

- **Financial condition** of fishing vessel owners and crew, fishing households, service businesses, businesses in the marketing chain.

### distributional outcomes

- **Distribution of benefits and costs** of a fishery management program are distributed among individuals, groups, and communities.
- **Access/exclusion** to quota and fishing opportunities, concentration of quota, and employment opportunities.





## Performance Measures Defined, cont

### stewardship

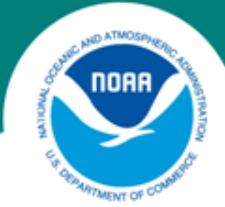
- The degree to which participants use the resource in a careful and responsible way.
- The degree to which participants have a sense of stewardship of natural and marine resources.

### governance

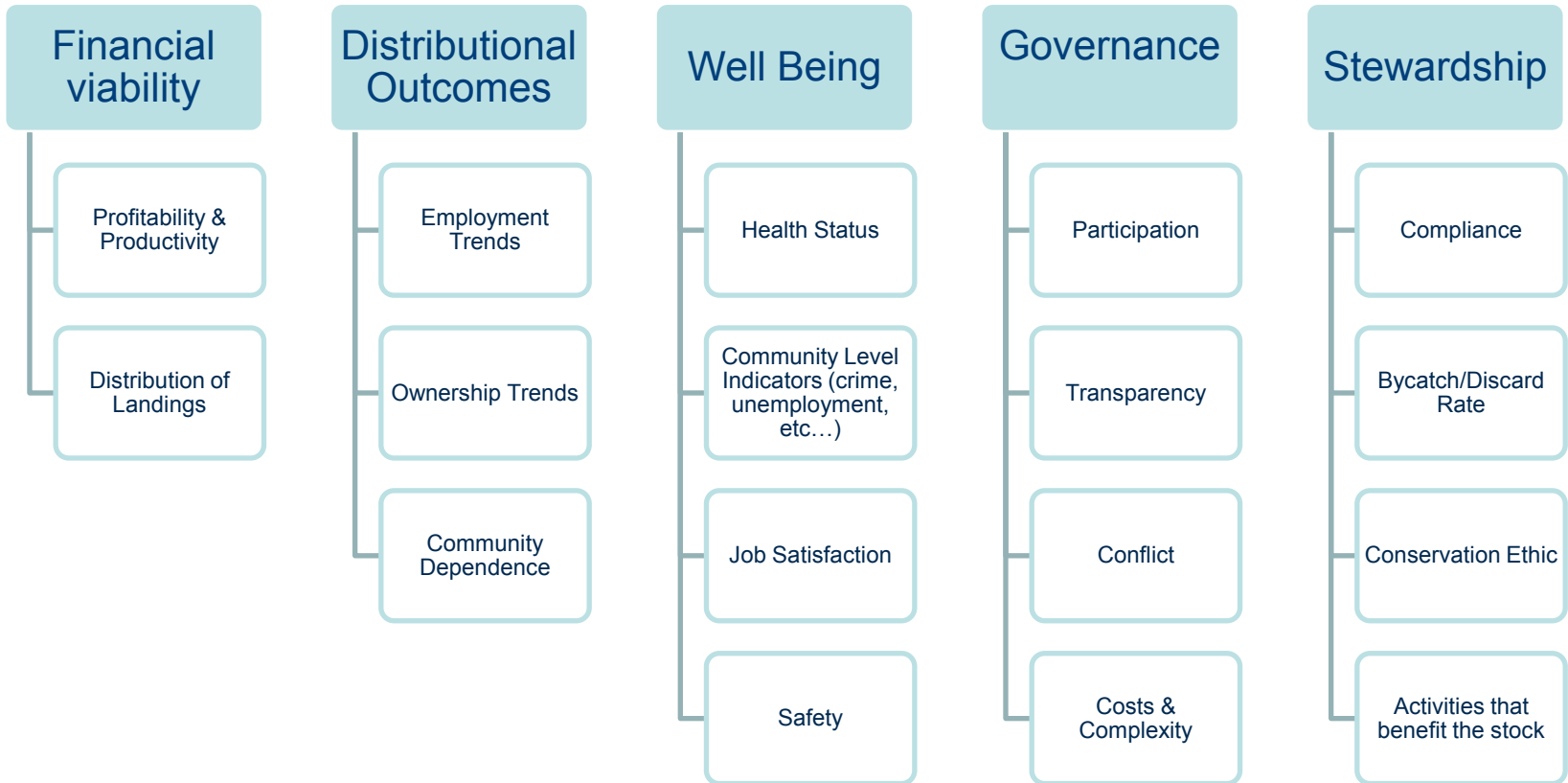
- Levels of participation in governance process.
- Perceived and objective transparency/legitimacy of decisions
- Effectiveness and complexity of regulations
- Cost to government/participants of management program.

### well-being

- The degree to which an individual, family, or larger social grouping (e.g., firm, community) can be characterized as being healthy (sound and functional), happy, and prosperous (Pollnac et al. 2006[2008]).



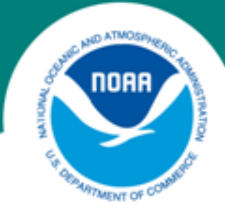
# Socio Economic Performance Measures for the NE





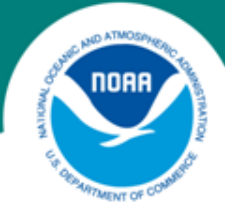
## Existing Data

- Fishing vessel activity
  - Seafood dealer data: pounds and value by species
  - Fishermen's logbook: areas fished, time at sea, catch by species, port landed, gear used
- Vessel owner information
  - Homeport, principal port, address, permits held
- Operating costs
  - Sample obtained by observers – trip costs (fuel, oil, water, bait, supplies, damage/loss)
  - Survey of fixed costs (insurance, maintenance....)



## Known Data Gaps

- Crew Information, including basic demographic info
- Vessel Annual Fixed Costs
- Safety Data (e..g. vessel accidents, personal injuries) by fishery. Do different regulatory schemes affect safety measures?
- Data about lease price, the price paid for temporary use of a CS quota or ACE – does price reflect the marginal return from using ACE or something else?
- Vessel Ownership Data
- Processing Sector Information (e.g. no information about input costs, quantities)



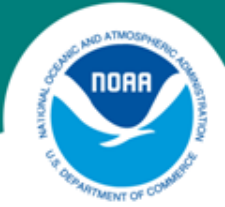
## New Initiatives: Data Collection

### Vessel Annual Cost

- 1600 Vessel Owners in Northeast
- Stratified by Vessel Size and Gear Type
- Mail Survey –may return via mail or complete on web

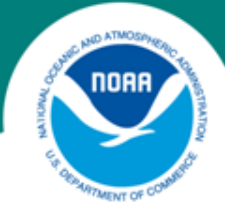
### Vessel Owner and Crew

- 1330 Crew Members and 800 Owners in NE & Mid-Atlantic
- Stratified by Fishery
- Crew members interviewed on the docks on tablets, owners received a mail survey



## Other Projects

- Performance Reports: Groundfish & Monkfish
- National Catch Share Indicators
- Performance Measures Indices
  - Economic Health Index
  - Community Resilience and Vulnerability Indices
- Groundfish Social Capital Survey
- Studies of safety and management cost data.
- Vessel ownership data analysis



## Applications with Councils

- Operationalize socio-economic objectives in FMPs
- Contribute to NE Council Performance Management System
- Support MAFMC SSC's Council Advisory Panel – SE data for data poor stock assessments(?)
- Strengthen Impacts Assessments and especially SIAs