



Fishing Community Vulnerability: Concepts and Measurement

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Overview

- Community vulnerability and resilience concepts
- Measurement
 - Social indicators
 - Fishing dependence



Vulnerable communities in your region



- What are the characteristics of vulnerable communities?



Resilient communities in your region



What are the attributes that make communities resilient?



Vulnerability and resilience

- Concepts interrelated
- Often seen as two ends on a continuum:



Or, resilience as a component of vulnerability:



Vulnerability and resilience research

- Measurement
 - Greater emphasis on vulnerability
- Applications
 - Natural disasters and climate change



Social vulnerability

- Is the pre-existing condition that affects a community's (social system) ability to prepare for and recover from a disruptive event.
- Inherent characteristics of the community that create the potential for harm
- Patterns of differential access to resources or differential susceptibility to harm or loss
 - Powerlessness and marginality



Social resilience

- Ability of the community to absorb impacts and recover from disruptive events such as regulatory change
- Includes coping with the event as well as post-event adaptive response



Components of vulnerability

- **Exposure**
 - Degree and duration of a disruptive event (regulatory change) - cumulative effects
- **Sensitivity**
 - Degree to which a community is modified or affected by an event
- **Adaptive capacity, coping capacity, (aka resilience)**
 - Ability to adjust to regulatory change, moderate neg. or pos. effects, and take advantage of opportunities



Measurement of vulnerability and resilience

- Social vulnerability is about the existing condition
 - Easier to measure from existing data
- Resilience is about the response to change over time
 - More difficult to measure until after an event
- Need to track vulnerability over time to understand community resilience



Questions or comments on the concepts
of social vulnerability or resilience?

Social indicators of fishing community vulnerability and resilience

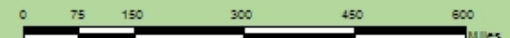


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- 2,917 communities in coastal counties in the Eastern US and Gulf Coast
 - Over 1,000 with commercial and/or recreational activity
 - 19 states
 - North Carolina shared
 - 180 variables
 - 7 different secondary sources

Scope



Social Indicators for Fishing Communities
NE & SE United States



Albers Projection
Central Meridian: -20
1st Std Parallel: 20
2nd Std Parallel: 60
Latitude of Origin: 40

Project objectives

- Determine key components of community vulnerability
- Construct indices – Objective measures of the social condition of communities to predict vulnerability
- Use indices as reference points to understand how community vulnerability (and resilience) may change over time in response to changes in fisheries management regulations

Parameters

- Feasible with available resources
- Data readily available
- Quantifiable



Applications

- Social Impact Assessment
- National Standard 8
- Environmental Justice
- National metric for evaluating the impact of catch share programs on communities

Methods

- Developed indices from 180 variables using principal component analysis
- Began with original set of 13 indices developed by Jacob et al. 2010
- Final 14 Indices with noteworthy results in both regions

Criteria for indices

- Used the following criteria to qualify indices that emerged:
 - Single factor solution
 - Factor loadings above .350
 - Kaiser-Meyer-Olkin measure of sampling adequacy above .500
 - Bartlett's test of sphericity significance above .05
 - Theta reliability above .500
 - Variance explained at or above 45%
 - 14 indices (will discuss 10)



Community selection

- Small number selected to groundtruth statistical results
- Represent
 - Varying levels of dependence on commercial and recreational fishing
 - Varying levels of social and gentrification pressure vulnerability

Social vulnerability indices

- **Poverty**
- **Personal disruption**
- **Population composition vulnerability**



Social vulnerability indices

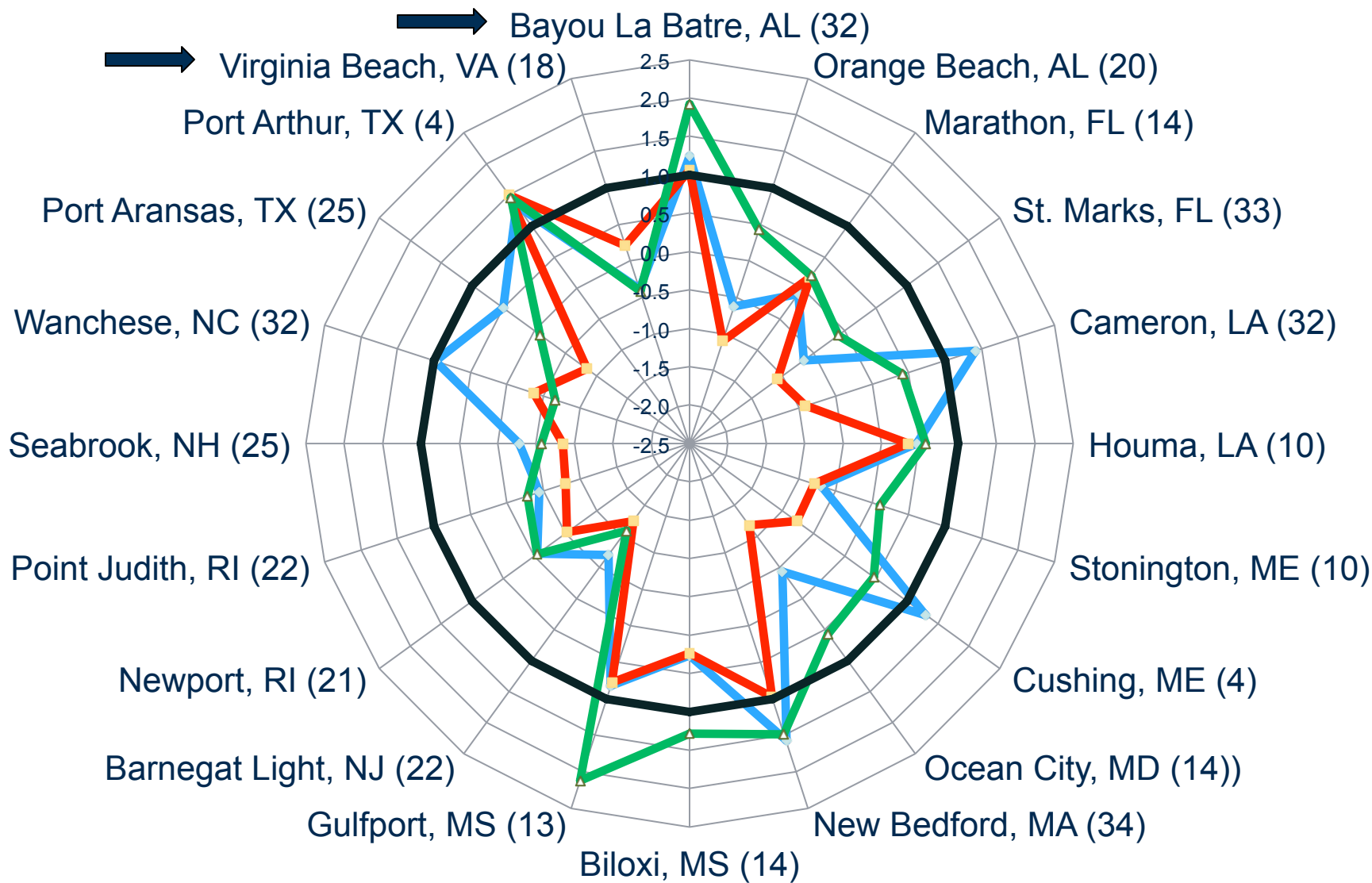
Indices	Factor Loadings	% Variance Explained
Personal Disruption		
% unemployed	0.628	44.62
Crime index	0.477	
% with no diploma	0.786	
% in poverty	0.811	
% females separated	0.600	
Population Composition Vulnerability		
% white alone	-0.898	58.12
% female single headed households	0.719	
Population age 0-5	0.675	
% that speak English less than well	0.739	
Poverty		
% receiving assistance	0.544	59.72
% of families below poverty level	0.915	
% over 65 in poverty	0.716	
% under 18 in poverty	0.862	

Poverty Index

Personal Disruption Index

Population Composition Index

1 Std Dev



Gentrification pressure indices



- Retiree migration
- Urban sprawl
- Natural amenities



Gentrification pressure indices

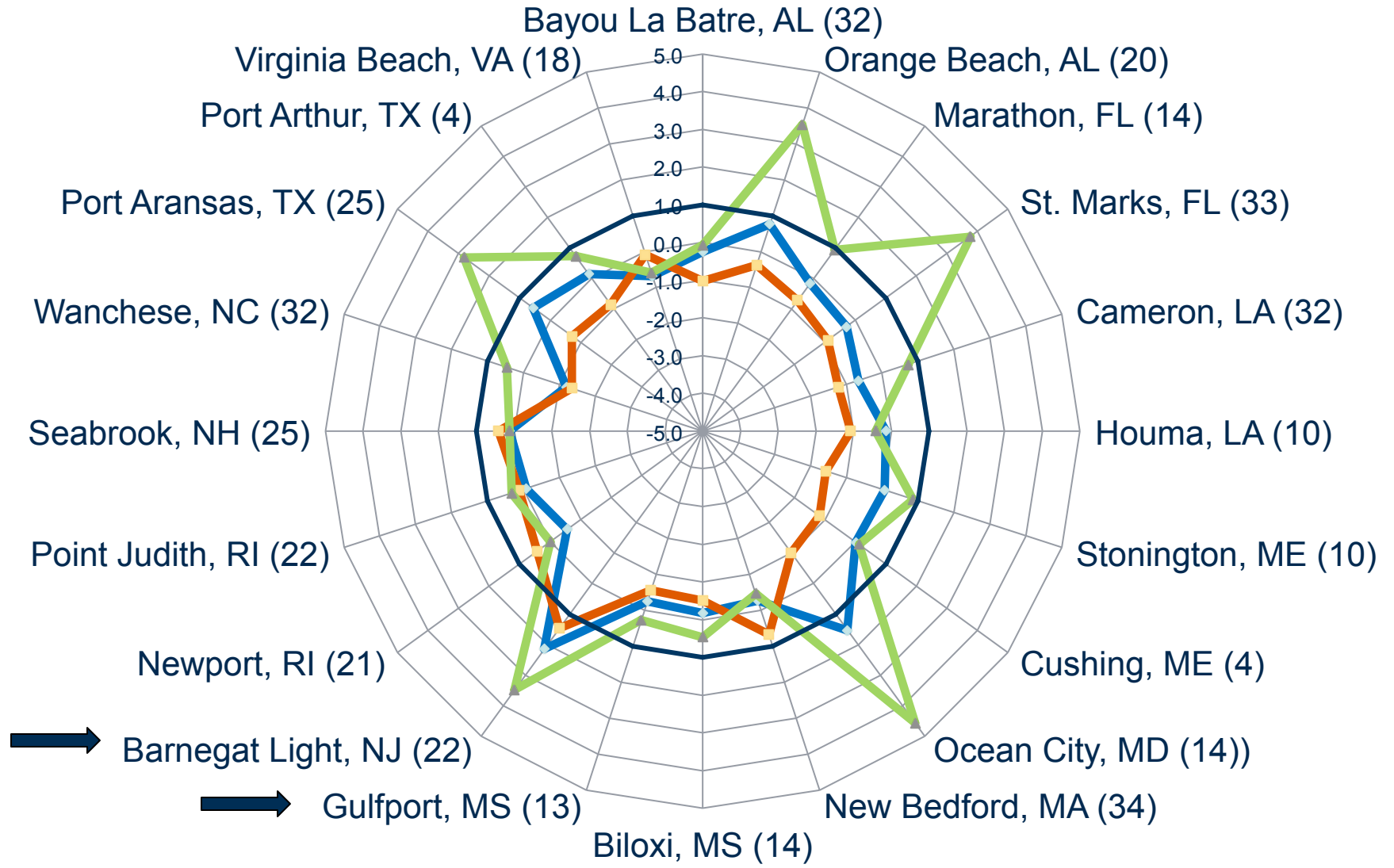
Indices	Factor Loadings	% Variance Explained
Retiree Migration		
Households with one or more over 65	0.950	78.58
% receiving social security	0.951	
% receiving retirement income	0.766	
% in labor force	-0.866	
Urban Sprawl		
Population density	0.387	49.32
Nearest city w/50k population in miles	-0.589	
Cost of living index	0.894	
Median home value	0.819	
Natural Amenities		
Rental vacancy rate	0.770	47.15
% homes vacant	0.824	
Boat launches by population	0.605	
% watercover	0.493	

Retiree Migration Index

Urban Sprawl Index

Natural Amenities Index

1 Std Dev



Fishing dependence indices

- **Commercial**
 - Commercial fishing engagement
 - Commercial fishing reliance



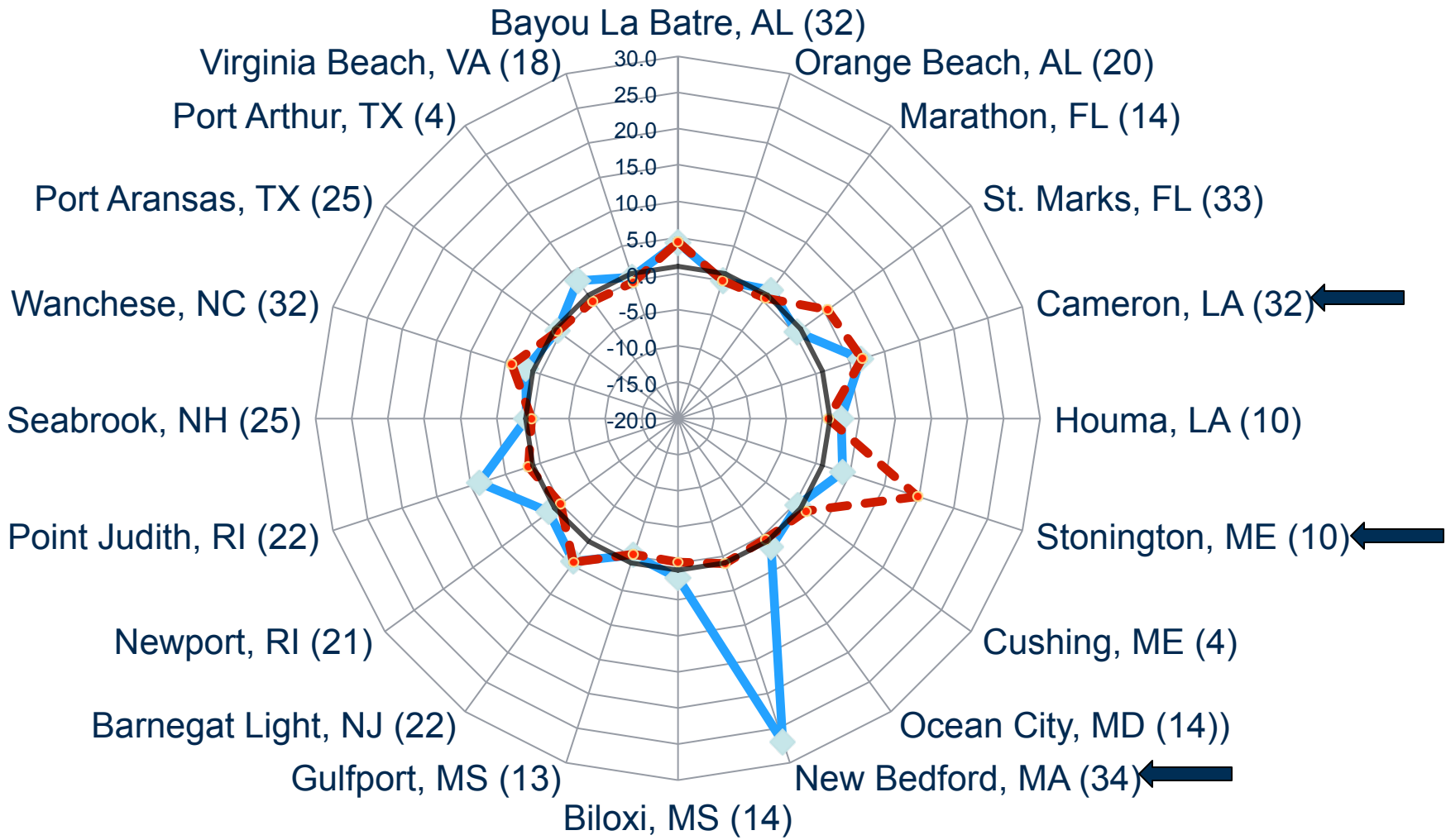
- **Recreational**
 - Recreational fishing engagement
 - Recreational fishing reliance



Commercial fishing indices

Indices	Factor Loadings	% Variance Explained
Commercial Fishing Reliance		
Value of landings by population	0.833	50.30
Number of commercial fishing permits by population	0.686	
Dealers with landings by population	0.592	
% in forestry, farming and fishing occupation	0.705	
Commercial Fishing Engagement		
Value of landings	0.906	57.57
Number of commercial fishing permits	0.862	
Number of dealers with landings	0.58	
Pounds of landings	0.635	

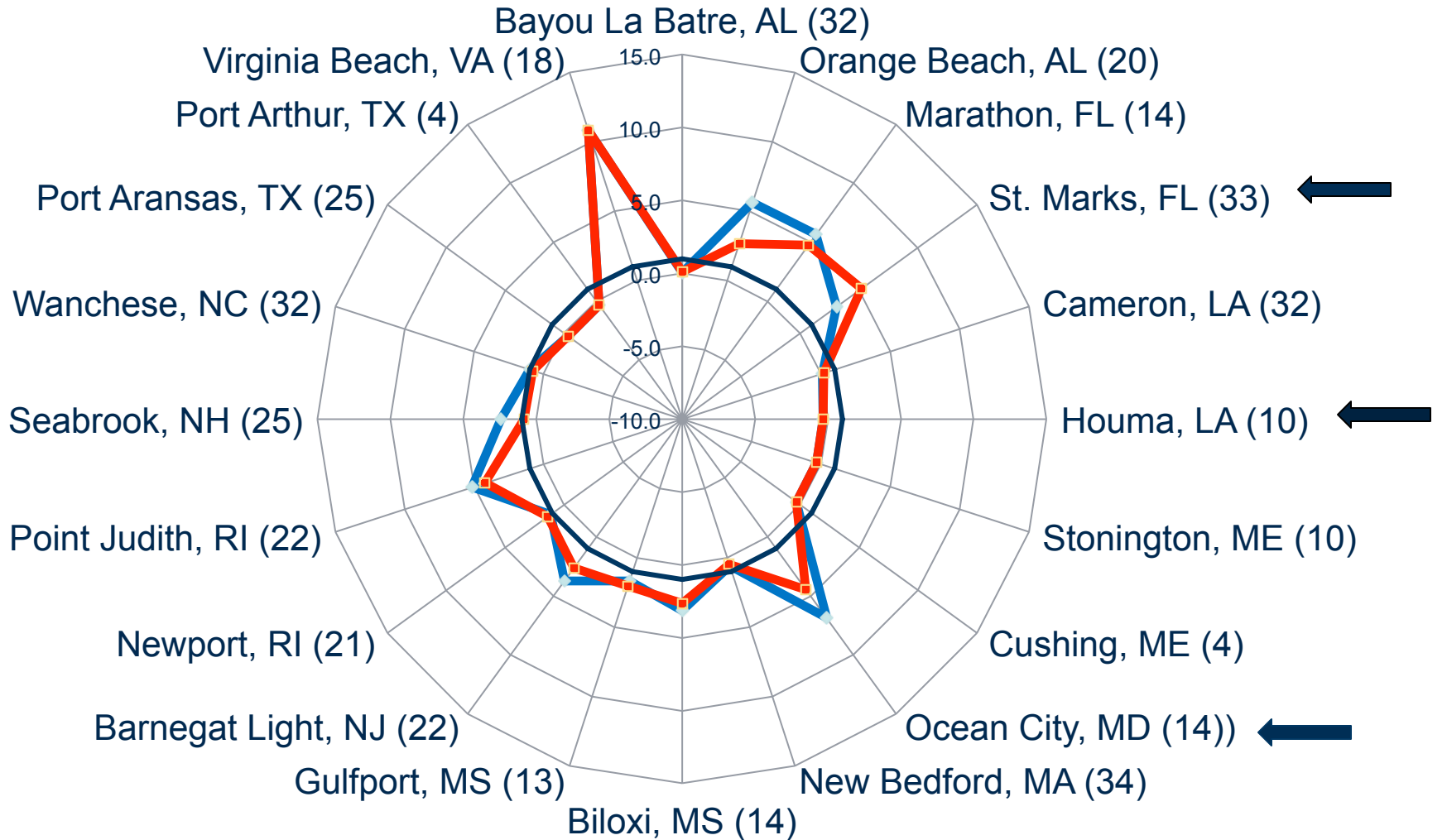
◆— **Commercial Engagement Index**
- - - **Commercial Reliance Index**
— **1 Std Dev**



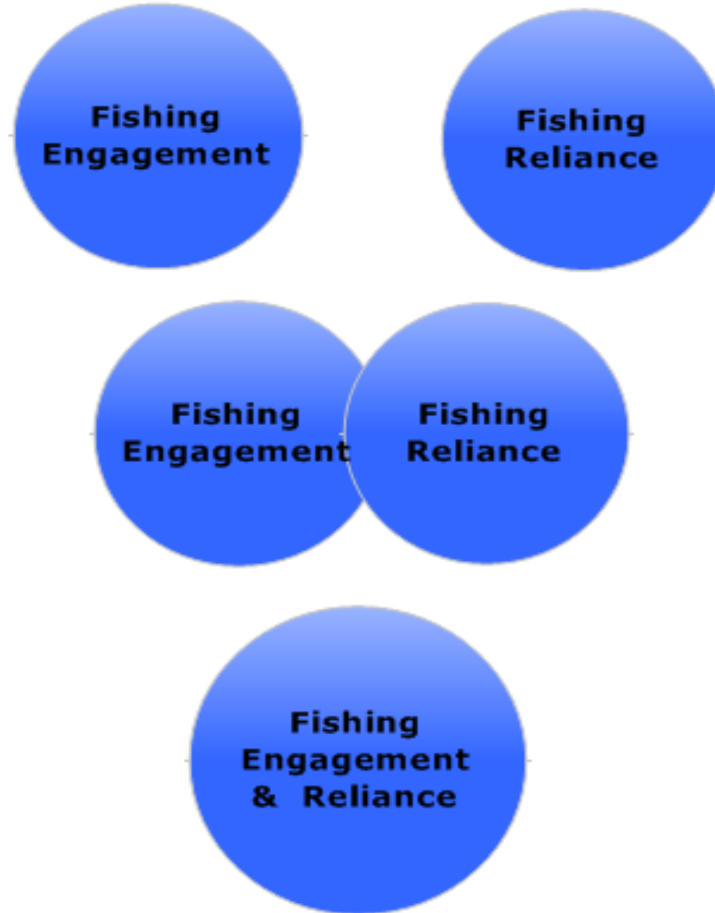
Recreational fishing indices

Indices	Factor Loadings	% Variance Explained
Recreational Fishing Reliance		
Recreational fishing mode charter by population	0.352	58.97
Recreational fishing mode private by population	0.917	
Recreational fishing mode shore by population	0.897	
Recreational Fishing Engagement		
Recreational charter fishing pressure	0.352	63.02
Recreational private fishing pressure	0.815	
Recreational shore fishing pressure	0.761	

—■ Recreational Engagement Index
 —■ Recreational Reliance Index
 — 1 Std Dev



Degrees of fishing dependence



	Social and Gentrification Vulnerability			Fishing Dependence		
Community	Social	Gent-rification	Sum	Com	Rec	Sum
Barnegat Light, NJ	2	3	5	2	2	4
Bayou La Batre, AL	4	0	4	2	0	2
Marathon, FL	1	1	2	1	2	3
Virginia Beach, VA	0	0	0	0	2	2

Do these social indicators reflect the real life situation of fishing communities?

- Groundtruth communities
 - Compare statistical results to real life situation of communities
 - Ethnographic interviews
 - Commercial and recreational fishermen
 - Support businesses (fishing infrastructure)
 - Town officials
 - Community members
 - Archival information
- Compare results



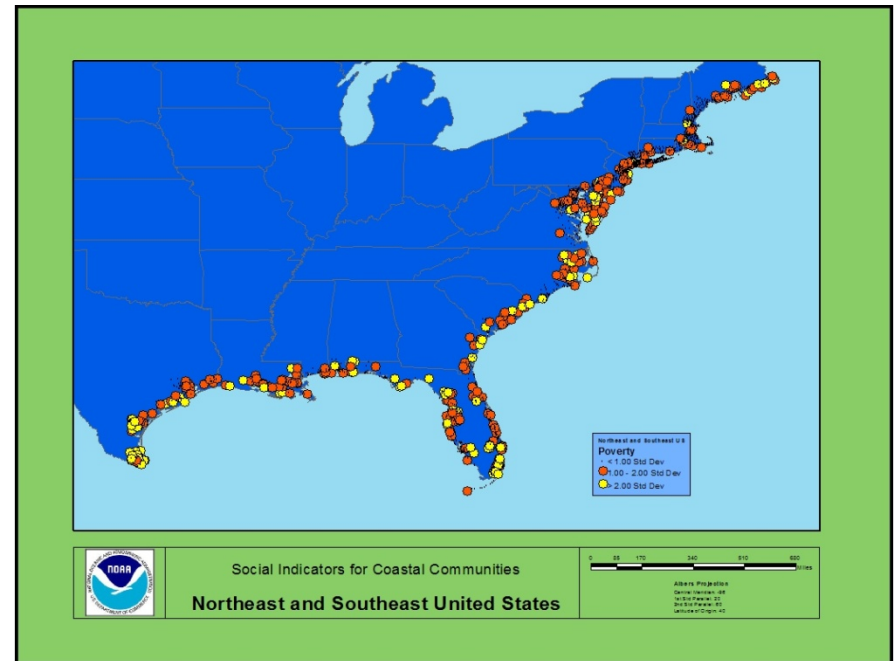
Next steps

- Fishery specific indices
- Time series analysis
- Indices
 - Fishing Infrastructure
 - Environmental
 - Social capital



Final Products

- Technical Memorandum available Summer 2012
- Website live Fall 2012
 - Public access
 - Data and mapping tools



Poverty Index

A parting thought:



- Tempting to shoot for a single index of vulnerability
- Communities are multi-dimensional
- Risk losing a finer grain understanding of the variation in the drivers of vulnerability for individual communities.



Questions?

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